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**Patent Application Transmittal**  
(only for new nonprovisional applications  
under 37 C.F.R. 1.53(b))  
Correspondence Address:  
**FROMMER LAWRENCE & HAUG LLP**  
**745 Fifth Avenue**  
**New York, New York 10151**  
**Tel. (212) 588-0800 Fax: (212) 588-0500**



Re: 454313-2300.1

TO: HON. COMMISSIONER FOR PATENTS AND TRADEMARKS  
Box PATENT APPLICATION, Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the name of:

GALE E. SMITH, JOHN KNELL AND ANDREI I. VOZNESENSKY

(information on attached UNSIGNED Declaration & Power of Attorney)

entitled: **APPARATUS AND METHODS OF PRODUCING AND USING HIGH-DENSITY CELLS AND PRODUCTS THEREFROM**

This is an application of a small entity under 37 CFR 1.9(f).

Small Entity Verified Statement is enclosed (unsigned)

The following are enclosed:

Specification ( 62 pages, plus 1 page of abstract on page 63.)

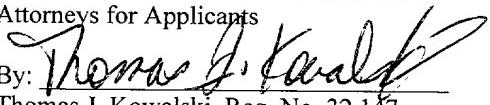
Sheet(s) of Drawings (11)

95 Claims (pp.55-62)

Oath or Declaration and Power of Attorney (unsigned; 3 pages)

The filing fee will be paid and an executed Declaration and Power of Attorney will be filed upon receipt of a Notice To File Missing Parts. Kindly accord the application a January 18, 2000 filing date.

Respectfully submitted,  
**FROMMER LAWRENCE & HAUG LLP**  
Attorneys for Applicants

By:   
Thomas J. Kowalski, Reg. No. 32,147

**EXPRESS MAIL**

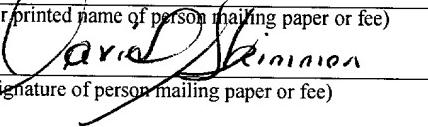
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Date of Deposit: January 18, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

**DAVID SKINNER**

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

Applicant or Patentee: SMITH et al. **FROMMER LAWRENCE & HAUG, LLP**  
Serial or Patent No.: NOT YET ASSIGNED File No.: 674506-2035.2  
Filed or Issued: HEREWITH Page 1 of 4  
For: **APPARATUS AND METHODS OF PRODUCING AND USING HIGH-DENSITY CELLS AND PRODUCTS THEREFROM**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- the owner of the small business concern identified below:
- an officer of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN

**PROTEIN SCIENCES CORPORATION**

ADDRESS OF CONCERN

**1000 RESEARCH PARKWAY  
MERIDEN, CT 06450**

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **APPARATUS AND METHODS OF PRODUCING AND USING HIGH-DENSITY CELLS AND PRODUCTS THEREFROM** by inventor(s) **GALE E. SMITH, JOHN KNELL, and ANDREI I. VOZNESENSKY** described in

- the specification filed herewith.
- application serial no. \_\_\_\_\_, filed \_\_\_\_\_.
- patent no. \_\_\_, issued \_\_\_.

Applicant or Patentee: SMITH et al. **FROMMER LAWRENCE & HAUG, LLP**  
Serial or Patent No.: NOT YET ASSIGNED File No.: 674506-2035.2  
Filed or Issued: HEREWITH Page 2 of 4  
For: **APPARATUS AND METHODS OF PRODUCING AND USING HIGH-DENSITY CELLS AND PRODUCTS THEREFROM**

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

INDIVIDUAL       SMALL BUSINESS CONCERN       NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

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FULL NAME \_\_\_\_\_

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Applicant or Patentee: SMITH et al. **FROMMER LAWRENCE & HAUG, LLP**  
Serial or Patent No.: NOT YET ASSIGNED File No.: 674506-2035.2  
Filed or Issued: HEREWITH Page 3 of 4  
**For: APPARATUS AND METHODS OF PRODUCING AND USING HIGH-DENSITY CELLS AND PRODUCTS THEREFROM**

FULL NAME \_\_\_\_\_

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INDIVIDUAL       SMALL BUSINESS CONCERN       NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

INDIVIDUAL       SMALL BUSINESS CONCERN       NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Applicant or Patentee: SMITH et al. **FROMMER LAWRENCE & HAUG, LLP**  
Serial or Patent No.: NOT YET ASSIGNED File No.: 674506-2035.2  
Filed or Issued: HEREWITH Page 4 of 4  
For: **APPARATUS AND METHODS OF PRODUCING AND USING HIGH-DENSITY CELLS AND PRODUCTS THEREFROM**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

**DANIEL ADAMS**

TITLE OF PERSON  
(if other than owner)

**PRESIDENT**

ADDRESS OF PERSON SIGNING

1000 RESEARCH PARKWAY  
MERIDEN, CT 06450

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

## **Separator Sheet**



AAA

## **Application Text**

**Place Papers behind this sheet in the following order:**

1. Preliminary Amendment
  2. Specification (English Language Only)
  3. Claims
  4. Abstract

**TITLE OF THE INVENTION**

*Apparatus and Methods for Producing and Using High-Density Cells and Products Therefrom*

**RELATED APPLICATIONS**

Reference is made to, and this application claims priority from, U.S. application Serial No. 60/162,354, filed October 29, 1999 and U.S. application Serial No. 60/118,816, filed February 5, 1999, each of which is hereby incorporated herein by reference; and, each document cited in those applications ("USSN 60/118,816 appln cited document" and "USSN 60/162,354 appln cited document"), and each document cited or referenced in each USSN 60/118,816 appln cited document and in each USSN 60/162,354 appln cited document, is hereby incorporated herein by reference.

Reference is made to U.S. applications Serial Nos. 08/965,698, filed November 7, 1997, 09/169,178, filed October 8, 1998, 09/372,734, filed August 11, 1999, 09/235,901, filed January 22, 1999, 09/169,027, filed October 9, 1998, 08/120,601, filed September 13, 1993 (allowed), now U.S. Patent No. 5,762,939, 08/453,848, filed May 30, 1995 (allowed), now U.S. Patent No. 5,858,368, 09/111,169, filed July 7, 1998, and 08/430,971, filed April 28, 1995 (allowed; U.S. Patent No. 5,976,552 issued November 2, 1999), each of which is hereby incorporated herein by reference; and, each document cited in each of these applications and patents or during their prosecution (e.g., as shown on the face of the patents or in their file histories) is hereby incorporated herein by reference. For instance, the present invention may be employed in practicing any or all of the aforementioned patent applications or for otherwise expressing exogenous DNA or in producing cells, e.g., for expressing exogenous DNA, for any or all of the aforementioned applications. Similarly, all documents cited in this text ("herein cited documents") and documents referenced or cited in herein cited documents or during the prosecution of any herein cited document (e.g., in the case of a herein cited document being a patent) are likewise incorporated herein by reference.

**FIELD OF THE INVENTION**

The present invention relates to methods and apparatus for the growth of cells, advantageously to high density, and uses thereof, including uses of the cells; and, products from the methods, apparatus and the cells. The present invention relates to methods and apparatus for the growth of cells, advantageously of high density cells, for expression of exogenous DNA, such as from infection by a viral vector containing the exogenous DNA or by a plasmid

transfected and/or inserted into such cells and containing such DNA, and uses thereof, including uses of the cells; and, products from the methods, apparatus and the cells and uses of such products.

The present invention also relates to methods and apparatus for the growth and infection of insect cells, advantageously at high-density, and uses thereof, including uses of the cells; and, products from the methods, apparatus and the cells and uses thereof. Furthermore, the invention relates to methods and apparatus for the production and use of insect cells, advantageously high density insect cells, for infection with wild type and/or genetically engineered recombinant baculoviruses, as well as to methods and apparatus for the production and use of cells, advantageously to high density, for infection, transfection or the like with wild type and/or engineered recombinant vectors, e.g., viruses, plasmids, and uses thereof, including uses of the cells; and, products from the methods, apparatus and the cells and uses thereof.

The methods and apparatus can include at least one bioreactor, advantageously at least one stirred-cell bioreactor, at least one source of culture medium (external to the bioreactor), advantageously at least one source of stirred culture medium (external to the bioreactor), at least one means for circulating media and/or cell culture, and at least one means for dialysis of nutrients and waste (and/or extracellular expression and/or secreted) products between the cells in the bioreactor and the external source of culture medium, such as at least one semi-permeable membrane, e.g., a hollow fiber filter, that results in the dialysis of nutrients and waste (and/or extracellular expression and/or secreted) products between the cells in the bioreactor and culture medium; e.g., whereby there is a first loop between the culture medium source and the dialysis means (media replenishment loop) and a second loop between the bioreactor and the dialysis means (cell culture loop).

The methods and apparatus can include at least one bioreactor, advantageously at least one stirred-cell bioreactor, at least one source of culture medium (external to the bioreactor), advantageously at least one stirred source of culture medium (external to the bioreactor), at least one means for circulating media and/or cell culture, and at least one means for delivery of oxygen, such as at least one oxygenator including input and output ports.

The methods and apparatus can include at least one bioreactor, advantageously at least one stirred-cell bioreactor, at least one source of culture medium (external to the bioreactor), advantageously at least one source of stirred culture medium (external to the bioreactor), at least

one means for circulating media and/or cell culture, at least one means for dialysis of nutrients and waste (and/or extracellular expression and/or secreted) products between the cells in the bioreactor and the external source of culture medium, such as at least one semi-permeable membrane, e.g., a hollow fiber filter, that results in the dialysis of nutrients and waste (and/or extracellular expression and/or secreted) products between the cells in the bioreactor and culture medium, e.g., whereby there is a first loop between the culture medium source and the dialysis means (media replenishment loop) and a second loop between the bioreactor and the dialysis means (cell culture loop), and optionally but advantageously present, means for delivery of oxygen; for instance, via means comprising at least one oxygenator including input and output ports. Advantageously, oxygen is delivered in a way such that proper oxygenation of the cells is maintained at cell densities especially at high densities. A “source of culture medium” or “culture medium source” can be a vessel for culture medium. A bioreactor can be a vessel for cells or cell culture.

Further, the methods and apparatus can include means for the delivery of other gases, such as air, and/or nitrogen, and/or carbon dioxide. The methods and apparatus also can include means for monitoring of chemical and/or physical parameters, such as pH and/or conductivity and/or temperature and/or oxygen concentration and/or carbon dioxide concentration and/or nitrogen concentration and/or glucose/nutrient concentration. And, the methods and apparatus can include means for adjusting one or more chemical and/or physical parameters of the system such as a function of one or more monitored parameters, e.g., pH and/or temperature and/or oxygen concentration and/or carbon dioxide concentration.

The methods and apparatus can optionally include means for monitoring and/or probing the system such as probe port(s); and further optionally means for delivery of at least one additional gas such as air and/or nitrogen and/or carbon dioxide; and still further optionally means for monitoring and/or regulating other parameters such as pH and/or temperature.

Accordingly, the invention can relate to a method for growing cells comprising culturing cells in at least one bioreactor whereby there is a cell culture, supplying medium in at least one vessel whereby there is culture medium, circulating culture medium and/or cell culture, whereby the bioreactor and vessel are in fluid communication and the cell culture and/or culture medium are in circulation, and delivering oxygen to the cell culture and/or culture medium.

And, the invention also can relate to a method for growing cells comprising culturing cells in a bioreactor whereby there is a cell culture, supplying culture medium in a vessel whereby there is culture medium, circulating the cell culture through a dialysis means, circulating culture medium through the dialysis means, wherein the dialysis means in fluid communication with the bioreactor and the vessel, whereby there is a first, cell culture, loop between the bioreactor and the dialysis means, and a second, media replenishment, loop between the vessel and the bioreactor, and the method includes performing dialysis between the culture medium and the cell culture.

Other aspects of the invention are described in or are obvious from (and within the ambit of the invention) the following disclosure.

### **BACKGROUND OF THE INVENTION**

Biological substances derived from animal cell cultivation are finding uses in a variety of medical and agricultural applications. The importance of recombinant proteins, a specific subset of biological substances, has been the basis for many new and emerging therapies and diagnostic methodologies ranging from vaccines to cancer therapies.

Cell culturing processes for the production of biological substances range in complexity from simple manually operated batch processes to complex computer controlled continuous cultivation bioreactors; for instance, from simple 50mL spinner flasks to complex stirred-tank bioreactors of 500L or more with automatically operated multiple measurement devices and feedback controls. The basic principle behind each process is to utilize cells as catalytic engines to produce useful biological substances such as viruses or proteins using medium in which the cells are bathed to provide both a source of required nutrients and a means of removing inhibitory waste material.

As the production of biological substances moves from the research laboratory to commercial production, competitive markets demand productivity improvements. The yield of product from each commercial bioreactor becomes critical. So too with quality, the market demands reliability and consistency of output. Current cell culturing processes readily reach their limiting conditions for production of biological substances. These limitations are imposed by the nutrient and oxygen requirements of the cells and by accumulation of inhibitory waste metabolites; and are reached well before the theoretical limits of cell growth or protein production are reached.

Not all cell types are capable of producing all biological substances. Many biological substances found in certain cells are incompatible with or even toxic to other cell types. The choice of cell types in many situations depends on the structural complexity of the end protein being produced. While protein production levels are high in prokaryotic organisms given their rapid growth and concomitant high levels of protein expression, they are not always capable of producing functional proteins as they perform no or incomplete or different post-translational and/or co-translational modifications such as glycosylation, phosphorylation and complex multi-unit macro-assembly.

Animal cells do perform the necessary complex post-translational modifications including glycosylation, phosphorylation and macro-assembly. However, some animal cells, especially mammalian cells, are difficult to grow and maintain and do not readily lend themselves to high yield production of biological substances under industrial conditions. As a subset of animal cells, insect cells are capable of glycosylation, phosphorylation and macromolecular assembly. For the production of many recombinant proteins, insect cells are an excellent choice because these cells have simple growth requirements, are highly susceptible to infection by recombinant baculoviruses engineered to produce biological substances in insect cells, and have a good safety profile.

Cell types and desired growth dynamics dictate the selection of a bioreactor type. Basic bioreactor devices include culture flasks, roller bottles, shaker flasks, stirred-tank reactors, air-lift reactors and more recently, hollow fiber reactor devices. There are advantages and disadvantages to each type of bioreactor and these advantages and disadvantages vary according to the type of cell cultured in the system and the specific properties of those cells. What works well with attached cells may not work with suspended cells. Therefore, improved bioreactors need to be flexible. They should support various cell types, operate for short or long duration cultivation periods and should operate at scales ranging up to 10,000 liters.

Growth of attached cells is limited to the surface area available and when roller bottles are used, scale up of attached cell production of biological substances can demand significant amounts of space. Alternatively, for attached cells, microcarriers can be used. However, these can limit nutrient and oxygen availability to the cells and often expose them to additional shear forces as the use of microcarriers requires a stirred tank. Additionally, matching the proper microcarrier type to the specific cell type can prove difficult.

Insect cells represent an economically important cell type with demonstrated usefulness in manufacturing biological substances. Typically, insect cells are cultured as suspensions in stirred cell bioreactors.

Unlike bacteria that are enclosed in cell walls, animal cells, and specifically insect cells, respond negatively to relatively mild hydrodynamic shear forces found in an operating bioreactor. These damaging events include bulk-fluid turbulence associated with spinner vortex formation, fluid-tank wall collisions and gas/liquid interfaces. This gas/liquid interfaces include the interface between the culture medium and head space gas with the stirred tank and between culture medium and oxygen bubbles formed during oxygen addition, such as with sparging. Insect cells are more sensitive than many other animal cells to these hydrodynamic shear forces (Wu J, King G, Daugulis A.J., Faulkner O, Bone D.H., Goosen M.F.A. (1989) *Applied Microbiology and Biotechnology* 32: 249). Compounding this sensitivity is the requirement of insect cells for higher oxygen levels: introduction of oxygen produces more bubbles, that is, more gas/liquid interface, and the opportunity for more hydrodynamic shear damage.

Thus, with insect cells, the mechanism for adding oxygen to the system becomes critical. First, the cells are more sensitive to the shear forces than are other animal cells. Second, more oxygen is required to grow these cells than is required to grow other animal cells. This additional oxygen requirement brings with it the probability of further cell destruction associated with increased bubbling from the higher oxygen supply and with faster stirring required to ensure even oxygen distribution. And third, when infected with baculovirus, the oxygen demand increases yet again and so too, the probability for shear related damage increases with a third factor.

Cell death is the end result of excessive shear forces, resulting from loss of membrane integrity, cell lysis, and altered metabolic activity. This insect cell sensitivity to shear forces related to high oxygen requirement is evidenced by the need for surfactant addition to the culture medium in sparged stirred tank bioreactors of any size (Murhammer D.W., Goochee C.F. (1990) *Biotechnology Progress* 6: 391).

During the cell culturing processes, oxygen demand increases as cell density increases. If the oxygen need is met through increased oxygen flow and stirring, shear forces increase. Thus, oxygen remains one the of key limiting factors in high density cell culture due to the need to limit shear related cell death. In turn, limiting oxygen addition restrains cell growth and makes

high density culture unattainable. Furthermore, poor oxygenation directly limits output of recombinant protein with insect cell based cell culturing systems.

Thus, it would be an advance in the art to address issues that limit cell density and recombinant protein production, such as providing both a source of required nutrients and a means of removing inhibitory waste material and/or providing oxygenation that addresses the desire to reduce or limit shear related cell death from oxygenation.

Zhang et al. Biotech. Bioeng. 59(3): 351-9 (1998) relates to a high-density insect cell perfusion process utilizing an ultrasonic filter device as a means to retain cells within the bioreactor while extracting spent medium. Per cell yields of recombinant protein were similar between normal conditions (when cells were diluted to a low density and infected with a genetically engineered baculovirus) and high-density conditions, and thus failing to demonstrate, show, teach or suggest production of a recombinant protein at high cell density. And, in a perfusion system, nutrients and waste never approach equilibrium. Thus, Zhang et al. either individually or in any combination fails to teach or suggest the present invention.

Likewise, any other filters or hollow fibers or hollow fiber filter devices or uses thereof fail to teach or suggest the present invention. For instance, in contrast with certain embodiments of the present invention, filters or hollow fibers or hollow fiber filter devices can be used: by removing medium and the cells from the bioreactor vessel, passing it through the filtering device, collecting the perfused fluid containing the desired biological substance and returning the medium with its cells to the original bioreactor vessel; or as housing for cells of interest within the extra-lumenal space of a hollow fiber filter device with perfused medium passed through the capillary tubes to the cells; or by placing unencased hollow fibers directly into the fermentation tank itself so that fresh medium can be more directly provided to immobilized or attached cells.

Microbead encapsulation involves porous hollow microballoons. Culture cells attach to the internal surfaces of these porous hollow microballoons. By controlling the diameter of the microballoon and its pore sizes, relative to cell size, the thickness of the cell layers can be controlled to allow for adequate delivery of nutrients and removal of waste metabolites. Microbead encapsulation fails to teach or suggest the present invention.

Spaulding et al., U.S. Patent No. 5,637,477, concerns a process for insect cell culture that reduces shear, in a horizontally rotating culture vessel. Spaulding et al. too, either individually or in any combination fails to teach or suggest the present invention.

Goffe, U.S. Patent No. 5,882,918 relates to a cell culture incubator. There is no circulation of cells. Goffe, either individually or in any combination, fails to teach or suggest the present invention.

Portner et al. Appl Micro Biot. 403-414 (1998) is directed to dialysis cultures and involves a complicated dialysis process coupled with the perfusion of waste and the addition of nutrient concentrate(s) as a means to reach high cell densities wherein the removal of waste is done in a dialysis vessel connected to a semi-permeable membrane and two additional vessels (one for the addition of dialyzing fluid and the second for the removal of waste). As a result, some nutrients must also dialyze into the dialysis vessel and get wasted. Further, one or more concentrates are added directly to the culture vessel to add nutrients and support the growth of cells and to replace what is being lost in the dialysis compartment of the bioreactor.

Portner et al. state that a limitation of their design when used in a stirred tank bioreactor is oxygen limitation in their dialysis loop (p. 409). Further, in one example with mammalian cells (p. 410, hybridoma cells), Portner et al. give no data or any indication that cells actually grew to high density; and in fact, the yields of monoclonal antibodies they report after 850 hours of culture (35.4 days) were relatively low (478 mg/l or 13.8 mg/l/day). Further, Portner state in their conclusions (p. 412) that their dialysis bioreactor can be used with stationary animal cells and that for large-scale cultures of suspended cells, that an external loop can “lead to severe problems, mainly due to oxygen limitations in the loop.”

Thus, Portner et al. directly teach away from the present invention by directly teaching that a bioreactor with an external loop of circulating cells will not work. Moreover, Portner relates to the use of an open bioreactor system requiring constant addition of dialyzing fluid to a dialysis chamber and nutrient concentrates to the bioreactor. Continuous perfusion of the dialysis chamber is a variation on a perfusion system in which nutrients and waste never approach equilibrium. And, Portner et al. do not teach or suggest the addition of oxygen by inline sparging or other means, suggesting that external circulation of cells is limited by oxygen deprivation.

Garnier et al., Cytotechnology 22:53-63 (1996) relates to dissolved carbon dioxide accumulation in a large scale and high density production of TGF $\beta$  receptor with baculovirus infected Sf-9 cells: Aeration apparently involved accumulation of dissolved carbon dioxide that inhibited protein production; oxygen may serve as a carrier gas for desorbing carbon dioxide.

Garnier used a low flow rate of pure oxygen with a dissolved oxygen content of 40%, and shows that there was a problem in the art, namely that higher rates of oxygen addition can result in hydrodynamic stress detrimental to the culture. Garnier fails to teach or suggest how one could provide higher rates of oxygen transfer, or to balance oxygen transfer, mechanical stress and carbon dioxide, *inter alia*. Garnier fails to teach or suggest the addition of oxygen by in line sparging or other means of the present invention, as well as the apparatus and methods of the present invention, *inter alia*.

Karmen et al. Biotechnology and Bioengineering 50:36-48 (1996) is directed in on-line monitoring of respiration in recombinant-baculovirus infected and uninfected insect cell bioreactor cultures. Dissolved oxygen (DO) levels were generally at about 40%, and as to DO, the authors assert that further investigations are required to clarify the effect of DO on baculovirus-infected insect cells. Karmen et al. may provide that respiration in insect cell cultures can be continuously monitored on-line with data from an O<sub>2</sub> control system or an IR CO<sub>2</sub> detector; but, fails to teach or suggest the system and apparatus of the present invention, especially the addition of oxygen by in line sparging or other means of the present invention (alone or in combination with dialyzing means), dialyzing means (alone or in combination with oxygen addition means) as in the present invention as well as other apparatus and methods of the present invention, for instance, use or adjusting of CO<sub>2</sub> in response to pH changes *inter alia* (and indeed, Karmen teaches away from such by reporting that insect cell cultures reportedly do not require HCO<sub>3</sub><sup>-</sup>/CO<sub>2</sub> buffering).

Nakano et al. Appl Microbiol Biotechnol 48(5):597-601 (1997) relates to the influence of acetic acid on the growth of *E. coli* during high-cell density cultivation in a dialysis reactor with controlled levels of dissolved oxygen with different carbon sources (glucose and glycerol); but fails to teach or suggest methods and apparatus of the invention.

Gehin et al. Lett Appl Microbiol 23(4):208-12 (1996) concerns studies of *Clostridium cellulolyticum* ATCC 35319 under dialysis and co-culture conditions. This was in batch with and without pH regulation. H<sub>2</sub>, CO<sub>2</sub> acetate, ethanol and lactate were end-products. No synergistic action was found. Methods and apparatus of the invention are not taught or suggested by Gehin.

Schumpp et al. J Cell Sci 97(Pt4):639-47 (1990) relates to culture conditions for high cell density proliferation of HL-60 human promyelocytic leukemia cells. While nutrient supply and

metabolic end product accumulation are possible growth limiting factors, Schumpp favors a perfusion method. Accordingly, methods and apparatus of the invention are not taught or suggested by Schumpp.

Laluppa et al., “*Ex vivo* expansion of hematopoietic stem and progenitor cells for transplantation,” in Jane N. Winter (ed.), *Blood Stem Cell Transplantation*, 1997 illustrates various systems for expansion of hematopoietic stem and progenitor cells, and fails to teach or suggest methods and apparatus of the invention.

Bedard et al., *Biotechnology Letters*, 19(7):629-632 (July 1997) concerns fed batch culture of Sf-9 cells which reportedly supported  $3 \times 10^7$  cells per ml and improved baculovirus-expressed recombinant protein yields; and relates to Sf-900 II medium and nutrient additives and nutrient concentrates. While medium, additives and nutrient concentrates may be employed in the practice of the herein invention, Badard et al. fails to teach or suggest methods and apparatus of the invention. Indeed, more generally, while components and/or cells found in literature, such as herein cited literature, may be employed in the herein invention, it is believed that heretofore methods and apparatus of the invention have not been taught or suggested.

Accordingly, it is believed that heretofore simple systems, e.g. closed systems, as in the present invention, where, for instance, nutrients and waste products in the bioreactor and the dialysate are in equilibrium and do not necessitate continuous perfusion (dialysis used not only for removal of waste but for addition of nutrients) and/or the issue of oxygen depletion is addressed, e.g., by the addition of oxygen directly to circulating cells, with also the issue of reducing or limiting shear related cell death due to oxygenation by reducing or limiting or eliminating shear forces from oxygen addition addressed, have not been taught or suggested. And, it is believed that heretofore, new bioreactor systems and apparatus for high-density cell growth, uses thereof, products therefrom, as described and claimed herein, as well as the herein methods for making and using such a high-density cells and products therefrom, have not been disclosed or suggested in the art.

## **OBJECTS AND SUMMARY OF THE INVENTION**

An object of the invention can be to provide an apparatus and/or a process for the growth of cells and/or of cell products, for instance, to high density.

The apparatus and process can include the use of a dialysis procedure for the simultaneous removal of waste products and the replacement of nutrients during the growth of

cultured cells. The dialysis procedure can employ the circulation of the growing cells through a semi-permeable membrane, such as a hollow fiber filter, where there is the exchange of small molecules between the cell medium and an external source of addition medium, referred to as ‘regeneration’ medium or media. Semi-permeable membranes permit the passage of water and small molecules and smaller proteins but not cells. If the concentration of a small molecule increases or decreases on either side of the membrane, then the concentration gradient leads to the exchange of molecules across the semi-permeable membrane. This provides for removal of waste molecules out of the cell compartment along a concentration gradient and entry of replacement nutrients into the cell compartment along a different concentration gradient. Where the membrane is essentially inert, as in a hollow fiber filter, then the movement is driven by the diffusion of molecules across the membrane and requires no specific pressurization to drive the molecules across the membrane.

The apparatus and process can provide a modular set of interchangeable components. This interchangeability can provide for optimization during different phases of a cell cultivation run to improve performance and for the capability for rapidly exchanging a malfunctioning component without aborting a cell cultivation run.

The circulation of cells through the relatively small diameter tubing of the hollow fiber filter provides the additional advantage of disrupting any clumped cells. Clumped cells are not as efficient in producing product since the interior cells of a clump cannot as easily absorb nutrients and oxygen and eliminate waste products as the outer cells.

Another object of the invention can be to provide an apparatus and a process for the addition of oxygen to growing cells using a novel procedure where the addition of oxygen is done outside the bioreactor and into a circulating loop of cells. This process is referred to as ‘in-line’ oxygenation. A means of introducing the oxygen gas is to circulate the cells through a hollow fiber filter designed for the addition of oxygen to fluid, such as the UniSyn Technologies Oxy 1. Alternatively and/or additionally, oxygenation can be accomplished by direct sparging of the circulating loop of cells and/or with isolated fibers within the hollow fiber filter device used in medium exchange dedicated to oxygen exchange and/or through sparging of the “replenishment” medium and/or through at least one oxygen-containing compound that releases dissolved oxygen and/or any combination of these oxygenation means.

An embodiment of the invention is the use of insect cells in a process that provides for their growth to high density; however, the invention is applicable to any cells, e.g., typical cells used in expression systems (*see infra*).

A further object of the invention can be to use cells, such as insect cells or cells used in expression systems at high density with any, or all, and advantageously most or all, of the following characteristics: replicate continuously in suspension as single cells, making them ideal for use in large-scale pharmaceutical bioreactors; grow to high density with a high degree of viability in a low-cost, serum-free medium; support the replication of vectors, e.g., baculoviruses, to high titers; when infected with a genetically engineered recombinant vector, e.g., baculovirus, gene; produce products at high levels and produce those products consistently over many passages; meet all regulatory requirements for identity and safety; readily expand to large-scale bioreactors for the manufacture of pharmaceutical products; and, store and culture in a serum-free medium.

Yet another object of the invention can be to provide a bioreactor and a process which overcomes or addresses at least one or more problem(s) of prior bioreactors and processes, e.g., problems identified herein with prior high-density bioreactor processes.

Surprisingly it has been found that the herein apparatus and process will grow cells such as insect cells or cells used in expression systems to high density and make them ideal for use in the large-scale production of gene products for use in human and animal health. At high cell density, the cells grow continuously as single cell suspensions in a commercial serum-free medium, divide rapidly and maintain a high level of viability, and are highly permissive for infection or transfection with vectors, e.g., baculoviruses, producing high virus titers and high levels of recombinant gene products. In addition, the herein bioreactor and process can be used with Sf900+ insect cells that meet the requirements for identity and safety recommended for the manufacture of recombinant DNA gene products under the U.S. current Good Manufacturing Practices (cGMP) specifications (Code of Federal Regulations 21, Part 211, Current Good Manufacturing Practice for Finished Pharmaceuticals, April 1, 1995). The Sf900+ cells are also in compliance with the guidelines issued by the U. S. Food and Drug Administration Points to Consider for Cell Lines used in the Production of Pharmaceutical Products (Points to Consider in the Characterization of Cell Lines Used to Produce Biologicals, issued May 17, 1993, U.S. Food and Drug Administration, Rockville, MD).

Thus, an embodiment of this invention can be a process for the growth of cells, e.g. the insect cells Sf900+, to high cell densities.

Another object of the invention can be to provide different media during the course of cell culture. The purpose is to change medium composition during different phases of cell culture to optimize nutrient utilization. For example, a "growth" media would be optimized for growth of cells to high density while an "expression" media would be optimized for the expression of biological substances in the cells. It can be a further object of the invention that the "expression" media be a low cost formulation composed of carbohydrates and organic and inorganic salts. This media thus reduces the cost of production of a biological substance. Additionally, since more complex media often contain substances that are difficult to separate from the desired product, simple "expression" medium allows for easier purification, reducing cost yet again.

Another embodiment of this invention can be to provide a method to use the high-density cells for the production of high titers of wild type and genetically engineered recombinant vectors, e.g., baculoviruses.

Yet another embodiment of this invention can be to provide the use of the bioreactor and process to produce high density cells to make vectors, e.g., expression vectors, such as baculovirus expression vectors, and to produce high-titer stocks of recombinant virus or vector suitable for use in the production of recombinant gene products.

Still another embodiment of this invention can be to provide the bioreactor and process to produce cell lines conforming to standard tests for identity and safety, whereby the cells can be used in the commercial manufacture of pharmaceutical products.

And, another embodiment of this invention can be to provide a bioreactor and method for the production of cells such as insect cells for large-scale commercial production of recombinant gene products from expression vectors such as baculovirus expression vectors.

The inventive bioreactor and process for high cell density is especially suited for practicing the teachings of the applications and patents above-referenced under "Related Applications"; and, this provides yet further embodiments of the invention.

Accordingly, in certain aspects, the invention can entail apparatus and process for producing high densities of cells. The invention, in certain aspects, can also comprehend the use of a high density process for the growth of an insect cell line such as an insect cell line

established from Lepidoptera, Noctuidae, *Spodoptera frugiperda* Sf900+ (ATCC: CRL 12579) in a serum-free insect medium supplemented. The invention, in certain aspects, can also comprehend an expression system such as a baculovirus expression system, including a recombinant virus or vector, e.g., baculovirus, that includes exogenous coding DNA, wherein cells such as insect cells, at high density from inventive apparatus and methods are infected or transfected with the recombinant vector or virus, e.g., baculovirus.

Further, the invention provides an apparatus for growing cells comprising at least one bioreactor for cell culture, at least one vessel for culture medium, means for circulating culture medium and/or cell culture, whereby the bioreactor and vessel are in fluid communication, and at least one means for delivery of oxygen. The invention further provides an apparatus comprising a bioreactor for cell culture, a vessel for culture medium, means for circulating cell culture, means for circulating culture medium, dialysis means in fluid communication with the bioreactor and the vessel, whereby there is a first, cell culture, loop between the bioreactor and the dialysis means, and a second, media replenishment, loop between the vessel and the bioreactor, and in operation dialysis between the culture medium and the cell culture; and, this apparatus can further comprise at least one means for delivery of oxygen into the cell culture loop.

The means for delivery of oxygen comprises a hollow fiber filter oxygenator and/or means for delivery of oxygen comprises means for in-line sparging and/or means for delivery of oxygen comprising means for delivery of at least one oxygen-containing compound that releases dissolved oxygen into cell culture. The means for delivery of oxygen can be positioned upstream of input of circulating cell culture returning to the bioreactor. The bioreactor and/or the vessel; and advantageously both the bioreactor and the vessel, are stirred. The means for delivery of oxygen can provide an average dissolved oxygen concentration of about 60% and/or greater than 60% or 65%; and/or the means for delivery of oxygen can provide an average dissolved oxygen concentration of greater than about 40% and/or the means for deliver of oxygen can provide an average dissolved oxygen concentration between about 30% and 90% or between about 40% and about 80% or between about 50% and 70%.

The apparatus can further comprise means for measuring physical and/or chemical parameters of the cell culture and/or the culture medium; for instance, in the cell culture loop and/or the media replenishment loop, such as probes or sensors in the bioreactor or the vessel or at any suitable point in the loop(s) (for instance, where there is withdrawal from the loops such

as for sampling). The means for measuring can comprise means for measuring dissolved oxygen concentration; e.g., in the cell culture or cell culture loop, for instance, a probe or sensor in the bioreactor for detecting dissolved oxygen in the cell culture therein. The means for measuring can comprise means for measuring pH; e.g., in the cell culture or cell culture loop, for instance, a probe or sensor in the bioreactor for detecting pH. The means for measuring can comprise means for measuring temperature; e.g., in the cell culture or cell culture loop, for instance, a probe or sensor in the bioreactor for detecting temperature. The means for measuring can comprise means for measuring pH and means for measuring dissolved oxygen; e.g., in the cell culture or cell culture loop, for instance, probes or sensors in the bioreactor for detecting each of pH and dissolved oxygen. The means for measuring can comprise means for measuring and/or counting cell density or cells.

The apparatus can further comprise means for adjusting physical and/or chemical parameters of the cell culture and/or the culture medium in response to data from the measuring means. The adjusting means can comprises means to adjust temperature, such as a heating and/or cooling jacket in surrounding relationship with the vessel and/or the biorector connected to a computer, microprocessor or processor that provides a signal to the jacket for heating and/or cooling in response to temperature measurements varying from a desired level. The adjusting means comprises means for adjusting pH; such as means for adding a chemical to the cell culture and/or the media that alters pH therein connected to a computer, microprocessor or processor that provides a signal to the adjusting means for addition of the chemical in response to pH measurements varying from a desired level, for instance, means for adding carbon dioxide to the cell culture in response to pH measurements. Thus, the adjusting means also can comprise means for adjusting dissolved carbon dioxide concentration. Further, the adjusting means can comprise means for adjusting dissolved oxygen concentration; for instance, means for addition of oxygen and/or air (or both) in response to oxygen measurements varying from a desired level (such as a level between 30% and 90% such as between 40% and 80% for instance between 50% and 70%, e.g., approximately 60%). In addition and/or alternatively, the adjusting means can call for adjusting and/or changing conditions in response to a cell density and/or cell count measurement; for instance, at a particular cell and/or cell count, media may be changed and/or a vector (e.g., recombinant virus such as baculovirus) added for infection.

Advantageously, the adjusting means comprises means for adjusting dissolved oxygen and means for adjusting dissolved carbon dioxide, whereby in response to pH measurement(s), dissolved carbon dioxide levels are adjusted; and, even more advantageously, the adjusting means also includes means for adjusting dissolved oxygen in response to dissolved oxygen measurement(s). These “adjustments” are advantageously performed in the cell culture loop; e.g., addition of carbon dioxide and oxygen are performed in the cell culture loop, for instance, at the oxygenator. The pH can be set to a desired level and carbon dioxide adjusted when pH varies from the desired level, whereby the dissolved oxygen measurement varies periodically as a function of time. For instance, the dissolved oxygen measurement varies from 30% to 90% or from 40% to 80% or from 50% to 70%; or, the dissolved oxygen measurement averages about 60% and/or the dissolved oxygen measurement can vary from high value to low value over about 10 to about 30 minutes or over about 20 minutes and/or a plot of the dissolved oxygen measurement as a function of time comprises a sin wave.

The invention yet further comprehends methods involving the inventive apparatus or steps performed by the apparatus or analogous apparatus.

The invention still further provides a method for growing cells comprising culturing cells in at least one bioreactor whereby there is a cell culture, supplying medium in at least one vessel whereby there is culture medium, circulating culture medium and/or cell culture, whereby the bioreactor and vessel are in fluid communication and the cell culture and/or culture medium are in circulation, and delivering oxygen to the cell culture and/or culture medium. The invention also provides a method for growing cells comprising culturing cells in a bioreactor whereby there is a cell culture, supplying culture medium in a vessel where by there is culture medium, circulating the cell culture through a dialysis means, circulating culture medium through the dialysis means, wherein the dialysis means in fluid communication with the bioreactor and the vessel, whereby there is a first, cell culture, loop between the bioreactor and the dialysis means, and a second, media replenishment, loop between the vessel and the bioreactor, and the method includes performing dialysis between the culture medium and the cell culture.

The delivering of oxygen can be by means for delivery of oxygen comprising a hollow fiber filter oxygenator and/or by means for in-line sparging and/or for delivery of at least one oxygen-containing compound that releases dissolved oxygen into cell culture; and, oxygen can be delivered into the cell culture and/or the cell medium; advantageously into the cell culture; for

instance, into the cell culture loop, such as immediately prior to return of cell culture to the bioreactor, e.g., upstream of input of circulating cell culture returning to the bioreactor.

The method can further comprise stirring the cell culture or the culture medium or, advantageously, both the cell culture and the culture medium.

The delivering of oxygen can provide an average dissolved oxygen concentration of about 60% or greater than about 60% or greater than about 65%; and/or an average dissolved oxygen concentration of greater than about 40%; and/or the delivering of oxygen can provide an average dissolved oxygen concentration between about 30% and 90% or between about 40% and about 80% or between about 50% and 70%.

The dialysis means can comprise at least one semi-permeable membrane. The semi-permeable membrane can comprise at least one hollow fiber filter.

Furthermore, the methods can include delivering oxygen into the cell culture loop; for instance, the delivering of oxygen can be by means for delivery of oxygen comprising a hollow fiber filter oxygenator and/or by means for delivery of oxygen comprising means for in-line sparging and/or the delivering of oxygen can comprise delivering at least one oxygen-containing compound that releases dissolved oxygen into cell culture. The delivering of oxygen can by means for delivery of oxygen is positioned upstream of input of circulating cell culture returning to the bioreactor.

Further still, the methods can include measuring physical and/or chemical parameter(s) of the cell culture and/or the culture medium. The measuring can comprise measuring dissolved oxygen concentration and/or measuring pH and/or measuring temperature; and/or measuring pH and measuring dissolved oxygen concentration and/or measuring cell density and/or amount of cells.

Even further still, the methods can include adjusting physical and/or chemical parameters of the cell culture and/or the culture medium (advantageously the cell culture) in response to data from the measuring; for instance, the methods can include adjusting temperature to maintain a desired temperature and/or adjusting pH to maintain a desired pH and/or adjusting dissolved oxygen concentration to maintain a desired dissolved oxygen concentration and/or adjusting dissolved carbon dioxide concentration. The methods can include adjusting dissolved oxygen concentration and adjusting dissolved carbon dioxide concentration, whereby in response to pH measurement(s), dissolved carbon dioxide levels are adjusted; and/or adjusting dissolved oxygen

levels in response to dissolved oxygen measurement(s). The methods can include adjusting pH to a desired level in response to pH measurements by adjusting the dissolved carbon dioxide concentration such that dissolved carbon dioxide concentration is adjusted when pH varies from the desired level, and the dissolved oxygen measurement varies periodically as a function of time. The methods can include adjusting the dissolved oxygen concentration so that the dissolved oxygen measurement varies from 30% to 90% or from 40% to 80% or from 50% to 70%; or, so that the dissolved oxygen measurement averages about 60% and/or adjusting the dissolved oxygen concentration so that the dissolved oxygen measurement varies from high value to low value over about 10 to about 30 minutes or over about 20 minutes and/or a plot of the dissolved oxygen measurement as a function of time comprises a sin wave. Additionally or alternatively, the adjusting can be an adjustment of conditions in response to cell density and/or cell count measurement; for instance, media can be added and/or changed and/or a vector (e.g., recombinant virus such as baculovirus) added for infection in response to the cell density and/or cell count measurement.

Yet further still, the methods can include collecting the cells. The invention thus comprehends methods for producing cells. The invention even further comprehends wherein the cells contain a vector. Thus, the invention also comprehends methods for replication of the vector and/or expression of exogenous nucleic acid molecules. The vector can comprise a virus or a recombinant virus; e.g., a baculovirus or recombinant baculovirus. The invention even further comprehends collecting expressed product, and/or virus or vector, e.g., baculovirus and/or the cells, as well as expressed product from the methods.

The invention therefore provides a method, for producing an expression product from a recombinant vector infected or transfected or inserted into a cell, or for producing a vector infected or transfected or inserted into a cell, comprising performing aforementioned or herein disclosed methods, wherein cells of the cell culture are infected or tranfected with or have inserted into them the recombinant vector, or the vector, either prior to or during the method. The recombinant vector can be a virus, e.g., a recombinant virus, such as a baculovirus and the cells can be cells susceptible to such a virus e.g., insect cells. The cells can be infected and/or transfected and/or have the vector inserted therein during the aforementioned and/or herein disclosed methods, e.g., during use and/or within inventive apparatus; and, collecting the cells or the expression product or the recombinant vector or the vector can be included.

Accordingly, the invention yet further comprehends uses of the expression products; e.g., as diagnostics, therapeutics, antigens, epitopes(s) of interest, vaccines, immunological compositions, therapeutic compositions, diagnostic compositions, etc.; and, the invention comprehends products from such uses, e.g., immunological and/or vaccine and/or diagnostic and/or therapeutic compositions comprising an antigen and/or epitope of interest and/or diagnostic protein and/or therapeutic wherein the antigen and/or epitope of interest and/or diagnostic protein and/or therapeutic is obtained from herein described methods and/or apparatus, and/or antibodies or antibody compositions elicited by such an antigen and/or epitope of interest (e.g., from administration of the antigen or epitope to a suitable animal), as well as methods involving such products, such as methods for inducing an immunological or immune response or protective immune response or therapeutic response comprising administering the composition comprising the antigen and/or the epitope of interest and/or the antibody and/or the therapeutic and methods involving diagnostic proteins from the invention, e.g., contacting a sample with a diagnostic protein obtained from this invention to ascertain the presence or absence of an antibody to the diagnostic protein.

The terms “comprises” and “comprising” can have the meaning given these terms in U.S. Patent Law; e.g., they can mean “includes” or “including”.

Further embodiments of this invention will be set forth in the description that follows, and will become apparent to those skilled in the art and as learned by the practice of the invention.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

The following Detailed Description, given by way of example, and not intended to limit the invention to specific embodiments described, may be understood in conjunction with the accompanying Figures, incorporated herein by reference, in which:

Fig. 1 shows a schematic illustration of a High-Density Dialysis Bioreactor with In-Line oxygenation;

Fig. 2 shows a schematic illustration of the cell culturing loop of Fig. 1;

Fig. 3 shows a schematic illustration of the medium replenishment loop of Fig. 1;

Fig. 4 shows a schematic illustration of the hollow fiber dialysis device of Fig. 1;

Fig. 5 shows a graph describing Growth of Insect Cells in a High-Density Dialysis Bioreactor with In-Line oxygen Sparging;

Fig. 6 shows a bar graph comparing Yields of AcNPV Polyhedrin Protein in Standard and High-Density Cultures;

Fig. 7 shows a bar graph comparing Yields of Recombinant Hemagglutinin from Three Strains of Viral Influenza in Standard and High-Density Cultures;

Fig. 8 shows a graph comparing the effects of oxygenation on growth;

Fig. 9 provides a Bioreactor diagram legend (legend of components; see Figs. 1-4);

Fig. 10 shows a flow diagram with outputs from probes 114a-e going to microprocessor or processor or computer controlling parameters such as pH, carbon dioxide, oxygen, air, nitrogen, temperature and connected to system inputs therefor (e.g., 154, 130, 140; heating/cooling e.g., for media reservoir, for bioreactor) with pH, oxygen, carbon dioxide and temperature functions illustrated in the flow diagram; and,

Fig. 11 shows CHO cell growth in a high density bioreactor according to the invention vs. growth in a control flask.

#### **DETAILED DESCRIPTION**

A bioreactor/cell culture process desirably provides for at least one or more, and advantageously all of: rapid growth of cells, preferably to high density, nutrient utilization and waste removal, preferably efficient nutrient and/or waste removal, and optimum accumulation of biological substances of interest. “High density” can have the meaning given to this term in the art, e.g., literature, patents, such as those cited herein, and can mean cell densities as exemplified herein, and/or about  $\pm 15\%$  or about  $\pm 10\%$  about or  $\pm 5\%$  or about  $\pm 3\%$  or about  $\pm 1\%$  of these values, but higher cell densities, e.g., higher than those reported herein and/or higher than about 10% or about 15% greater than values exemplified herein, are desirable. Advantageously, “normal” density can be a density achieved without the present invention, e.g., under standard conditions (such as stirred bioreactor with direct sparging into the bioreactor without circulation of cells or medium), and high density can be a 20% or 50% or 100% or 150% or 200% or even a 300%, 400% or 500% or more increase in cells over normal (note the Examples *infra*).

The apparatus and process of the present invention, while developed for and advantageously employed with respect to lepidopteran insect cells, provides beneficial conditions for many diverse cell types; namely, all cell types, including without limitation, eukaryotic and prokaryotic cells; vertebrate and invertebrate cells; animal and plant cells; fungus or yeast and bacteria cells; for instance, plant cells such as land plant cells and marine plant cells,

monocot cells and dicot cells e.g. maize cells, tomato cells, tobacco cells; yeast cells such as *Saccharomyces cerevisiae* cells, *Saccharomyces pastorianus* cells *Pichia pastoris* cells; bacteria cells such as *E. coli*, *Bacillus* (e.g., *Lactobacilli*), *Staphylococci*; vertebrate cells such as fish cells (e.g., shark, salmon, rainbow trout, zebrafish, herring, mackerel cells), amphibian cells (e.g. frog, toad, salamander cells), bird or avian cells (e.g. chicken, turkey, duck, pigeon, dove cells), reptile cells (e.g. snake such as cobra), and mammalian cells (e.g., human, rabbit, hamster, mouse, rat, primate, cells such as VERO, HeLa cells, Chinese hamster ovary (CHO) cell lines, W138, BHK, COS-7, 293, MDCK, blood cells (e.g., red blood cells and white blood cells)); invertebrate cells such as land invertebrate cells, for instance, insect cells, e.g., lepidopteran cells such as *Spodoptera* (e.g., *Spodoptera frugiperda* such as Sf9 or Sf900+ or ATCC CRL 12579; see also USSN 09/169,178, filed October 8, 1998), *Trichoplusia* (e.g., *Trichoplusia ni* such as cells as in Granados, U.S. Patents Nos. 5,300,435, 5,298,418), silkworm (*Bombyx mori*), dipteran such as mosquito (e.g. *Culicidae*) cells, fly cells (e.g. *Drosophila*), transformed insect cells (see, e.g., Ailor et al., "Modifying secretion and post-translational processing in insect cells," Current Opinion in Biotechnology 10:142-145 (1999); Pfeifer et al., "Expression of heterologous proteins in stable insect cell culture," Current Opinion in Biotechnology 9:518-21 (1998); McCarrollet al., "Stable insect cell cultures for recombinant protein production," Current Opinion in Biotechnology 8:590-94 (1997); U.S. Patent No. 5,637,477), and marine invertebrate cells, for instance shrimp cells (including *Penaeus* such as *Penaeus monodon*, *P. japonicus* and *P. penicillatus*); e.g., typical cells that are used with eukaryotic replicable expression vectors such as *S. frugiperda* cells, VERO cells, MRC-5 cells, SCV-1 cells COS-1 cells, NIH3T3 cells, mouse L cells, HeLa cells, CHO cells, and the like. The cells can be recombinant; e.g., the cells can have been infected or transfected with or by a vector or otherwise have inserted therein a vector (e.g., before, during or after use of the cells in the bioreactor system and methods of use of the invention), and the vector can contain a particular nucleic acid molecule, e.g., a heterologous or exogenous nucleic acid molecule (as to either the cell or the vector or both); for instance, for reproduction and/or expression of certain nucleic acid (e.g., DNA) molecules.

It is advantageous in growing cells to supply and maintain nutrients and oxygen uniformly or substantially uniformly or with consistency or substantially consistently or regularly or substantially regularly, as well as maintain cell viability, whether in the cell growth or protein synthesis phase. Note for instance the regular variation in cell culture parameters in

embodiments of the present invention, or the holding or of one or more parameters constant or uniform (or substantially constant or uniform).

Embodiments of the present invention demonstrate the applicability of the present invention to all cell types because addressing design issue with respect to insect cells provides teachings to practice the invention with respect to any cell type, since one can extrapolate from insect cells to other cells, and insect cells are a true test of the invention. For instance, insect cells require oxygen over and above what is required for most animal cells (Maiorella B, Inlow D, Shauger A, Harano D (1988) *Bio/Technology* 6: 1406). When infected by baculovirus, the oxygen requirement increases yet again (Kiouka N, Nienow AW, Emery AN, al-Rubeai M (1995) *Journal of Biotechnology* 38(3): 243). And, improper delivery of oxygen can result in cell damage and ultimately, cell death through shear forces related damage.

The invention provides many advantages. In at least certain embodiments, the invention is simple in that it can include three main components: A cell culture Loop 100. A Medium Replenishment Loop 200. And, Hollow Fiber Dialysis Device 300. Other embodiments can be simpler.

Further, components of the invention can be modular such that each module can be replaced during the culture process either as a planned event such as a requirement for optimal production of a biological substance, or as an unplanned event such as the failure of a component. This exchange of modules can occur without having to halt the culture process. The simplicity and modularity of the present invention make it flexible in that the invention can accommodate a variety of culture parameters such as cell type, and scale or process type such as batch or continuous.

Further still, the simplicity, modularity and flexibility of the invention means that it lends itself to automation through the addition of appropriate sensors in the system; for instance as discussed herein, *see, e.g.*, Fig. 10. These could monitor one or more or any combination or all of: temperature, pH, conductivity, dissolved oxygen, glucose level, cell density, carbon dioxide, and nitrogen, for example. A computer programmed with the optimum culture conditions can monitor the sensor data and adjust chemical or physical properties, such as pH (for instance by addition of carbon dioxide) or oxygen (for instance by addition of oxygen), or temperature, in response to sensor data. When deviations from the prescribed conditions are detected, the computer then automatically would adjust the appropriate culture parameters such as impeller

speed, oxygen flow rate or medium flow rate until the culture conditions once again fall within acceptable ranges. Thus, this “feedback loop” between the sensor data and the computer would allow for unattended operation of the invention.

Advantageous embodiments can include means for dialysis. This means can be a hollow fiber filter; and, this has been found to be an important contribution to improving cell culturing system yields. These slightly flexible semi-permeable capillary tube devices are usually contained in a rigid encasement. Because they are semi-permeable, that is, they allow small molecular size material to pass through their pores while retaining the much larger intact cells, they are utilized in particularly advantageous embodiments to separate the desired biological product from the cells during fermentation. Another means for dialysis can be a tangential flow filter, i.e., another semi-permeable membrane useful as a dialysis means in this invention can be a tangential flow filter.

In certain advantageous embodiments, the dialysis means is present and an interface between the Cell Culturing Loop and the Medium Replenishment Loop. (See Fig. 1: Note that cell culture from bioreactor 110 flows through cell take-up and line 112 into line 112a (through action of pump 120), and passes through line 112b into the Hollow Fiber Dialysis Device 300 via Lumen input 301. Cell culture from Lumen input 301 flows into Lumen space 310 and out Lumen ouflow 302 to cell return line 113a. Lumen space 310 is within the hollow fiber filter of the hollow fiber filter device 300 (which has a cylindrical shape). From line 113a, cell culture flows into line 113b, and then passes though optional, but advantageously present, oxygenation loop 150 via lumen input 152 and lumen outflow 153 (at opposite ends of the lumen 151a of oxygenation loop 150), returning to bioreactor 110 via line and cell return 113. Media from media reservoir 210 flows through media take-up 212 into line 250 and to line 250a (through the action of pump 220) and into extra luminal input 303 of the Hollow Fiber Dialysis Device 300. From extra luminal input 303, media flows into extra lumen space 320, which has an exterior surrounding relationship to the hollow fiber filter of the Hollow Fiber Dialysis Device 300 and is within the lumen of the Device. The media then flows out extra luminal outflow 304, through lines 260c, 260b, 260a and 260 back into media reservoir 210 via media return 213. Thus, media flows on the outside of the hollow fiber filter while cell culture flows through the interior of the hollow fiber filter, with dialysis occurring as the liquids pass on opposite sides of the filter - nutrients flowing from the media into the cell culture through the hollow fiber filter, waste from

the cell culture flowing into the media through the hollow fiber filter (nutrients and waste products in the bioreactor and the dialysate are in equilibrium and do not necessitate continuous perfusion (dialysis used not only for removal of waste but also for addition of nutrients))- and the Hollow Fiber Dialysis Device is a dialysis means that is an interface between the Cell Culture Loop and the Medium Replenishment Loop.)

Having the dialysis means as an interface between the Cell Culturing Loop and the Medium Replenishment Loop provides advantages. For instance, in the practice of embodiments of the present invention, one can use a hollow fiber filter without: having to remove medium and the cells from the bioreactor vessel, then pass the medium and cells through the filtering device, with subsequent collection of the perfused fluid containing the desired biological substance and returning the medium with its cells to the original bioreactor vessel; or having to house cells of interest within the extra-luminal space of the device itself, with perfused medium passing through the capillary tubes to the cells; or placing the unencased hollow fibers directly into the fermentation tank itself so that fresh medium can be more directly provided to immobilized or attached cells.

The inventive bioreactor system and methods of use can in certain advantageous embodiments involve a combination of improvements that together can provide for high-density growth and production of biologically important materials. In these embodiments, the design can provide favorable oxygen, and/or nutrient supplies and reduced shear forces necessary for high-density propagation of cells. These embodiments can include: continuous circulation of cells from the bioreactor, through a semi-permeable hollow fiber filter, then back to the bioreactor; in a manner that is analogous the circulation of the blood through the kidneys and also includes in-line oxygenation, as in the lungs; medium is pumped from a storage vessel to the hollow fiber filter and then circulates back to the storage vessel. In the hollow fiber filter, dialysis occurs between circulating replenishment media and cells; removing waste products and replenishing nutrients utilized to support the metabolism of the cells. The method in these embodiments compartmentalizes the process of culturing cells, and thereby producing important biological substances, into three discrete components: one containing the cells, one containing a volume of medium and the third a semi-permeable device allowing interaction between the cell compartment and the medium reservoir compartment. Thus, like circulating blood cells, cells in

this bioreactor system can be maintained under conditions optimal for growth or production of cellular products.

Thus, the invention can involve a bioreactor for containing cell culture, dialysis means, and a media reservoir for containing media wherein the bioreactor is connected with the dialysis means and the media reservoir is connected with the dialysis means such that in operation there is dialysis between the cell culture and the media; and, each of the cell culture and media may be in circulation via circulation or pumping means.

Accordingly, in certain advantageous embodiments, the invention can further involve oxygenation means, illustrated in the Figures as an oxygenation loop within the cell culturing loop. The illustrated oxygenation means (*see* Fig. 1) includes oxygenator 151 that includes lumen 151a, gas input 154, gas output 155, lumen input 152 and lumen outflow 153 (with cell culture flowing from line 113b into lumen input 152 at the top of oxygenator 151 and out of the oxygenator at lumen outflow 153 at the bottom of oxygenator 151 and into bioreactor 110 via cell return 113). The gas input can, of course, be connected to an oxygen source, to provide oxygen to the cell culture; and, other gases can also be inputted through input 154, e.g., air and/or carbon dioxide and/or nitrogen. Furthermore, an alternative can be that input from line 113b flows into input 154 and output 155 is connected to line 113, with gas introduced at input 152 and exiting at outflow 153; i.e., the ports can be “flipped”. Alternatively or additionally, oxygenation means can include introducing (e.g., at the point in Fig. 1 of the oxygenation loop) oxygen and/or an oxygen source or carrier into the cell culture (that diffuses oxygen into the cell culture), such as perfluorocarbon oxygen carriers, hemoglobin, and the like, either alone or in combination with one or more other gases and/or gas sources or carriers.

With respect to oxygen sources or carriers that can be used to diffuse oxygen into the cell culture, such as fluorocarbon or perfluorocarbon oxygen carriers or blood substitutes, mention is made of Flurovent, a liquid ventilation, a fluorochemical liquid from Synthetic Blood International, Inc., that can replace or augment mechanical ventilation; Oxycite, an oxygen carrying perfluorocarbon from Synthetic Blood International, Inc., Oxygent, a perfluorocarbon oxygen carrier from Alliance Pharmaceuticals; *see also* LC Clark, Jr., F Gollan. Survival of mammals breathing organic liquids equilibrated with oxygen at atmospheric pressure. Science 152:1755-1756, 1966; TH Shaffer, MR Wolfson, LC Clark, Jr. Liquid Ventilation: State of the Art Review. Ped Pulmon 14:102-109, 1992; RE Hoffmann, HK Bhargava, SL Davis, LC Clark, Jr. Arterial

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The dialysis means in embodiments of the inventive bioreactor and methods of use is by itself believed to be novel. The oxygenator means in embodiments of the present invention, e.g., oxygen sparging and/or providing oxygen via an oxygenation loop containing a pore filter, is

also by itself believed to be novel. Thus, embodiments of the invention can involve the dialysis means (or dialyzing) without necessarily also including the oxygenator means. Embodiments of the invention can involve oxygenator means (or oxygenating) without necessarily also including dialysis means. And, embodiments of the invention can include both dialysis means and oxygenator means (or dialyzing and oxygenating). (Indeed, dialyzing and oxygenating can be two steps or one step; for instance, if the media includes not only nutrients but also a source or carrier of oxygen such that at the dialysis means, nutrients and oxygen both pass to the cell culture and dialyzing and oxygenating can be performed in one step.)

Inventive bioreactor systems and methods of use can support the growth of cells, e.g. insect cells, to densities that are higher than those known to the inventors to have ever been reported. Inventive bioreactor systems and methods of use also produce virus, e.g., baculovirus and recombinant gene products in cells, e.g., insect cells, at very high cell densities. Furthermore, inventive bioreactor systems and methods of use can be employed at large scales and are suitable for the manufacture of recombinant DNA products in cultured cells.

Insect cells from *S. frugiperda* and other Lepidopteran insect species have been described in the literature and their general use to support the infection and replication of baculoviruses and recombinant baculoviruses or insect cell viruses and the production of recombinant proteins therefrom is well known (*see, e.g.*, Smith et al., U.S. Patent No. 4,745,051 (recombinant baculovirus); Richardson, C.D. (Editor), Methods in Molecular Biology 39, "Baculovirus Expression Protocols" Humana Press Inc. (1995)); Smith et al., "Production of Human Beta Interferon in Insect Cells Infected with a Baculovirus Expression Vector," Mol. Cell. Biol., 3(12):2156-2165 (1983); Pennock et al., "Strong and Regulated Expression of *Escherichia coli* B-Galactosidase in Insect Cells with a Baculovirus vector," Mol. Cell. Biol., 4(3):399-406. (1984); EPA 0 370 573, U.S. application Serial No. 920,197, filed October 16, 1986, EP Patent publication No. 265785; U.S. Patent No. 5,911,982; and other documents cited herein).

In the baculovirus expression system, an inserted nucleic acid molecule, e.g., the foreign gene, the heterologous or exogenous nucleic acid molecule, for instance, DNA, is inserted into an insect virus vector, e.g., in a baculovirus vector, which is then used to infect cells of the inventive cell line, for expression of the DNA. The DNA preferably encodes an expression product. Similarly, when the inventive bioreactor process is used with the insect cell line infected with a recombinant baculovirus, at least one polypeptide of interest is produced.

Similarly, other vector systems for the expression of exogenous DNA are known; for instance, the poxvirus system; *see, e.g.*, U.S. Patent Nos. 4,603,112, 4,769,330, 5,174,993, 5,505,941, 5,338,683, 5,494,807, 4,722,848, WO 94/16716, WO 96/39491, Paoletti, "Applications of pox virus vectors to vaccination: An update," PNAS USA 93:11349-11353, October 1996, and Moss, "Genetically engineered poxviruses for recombinant gene expression, vaccination, and safety," PNAS USA 93:11341-11348, October 1996. In embodiments of the invention, instead of insect cells in the inventive bioreactor system and methods of use, one can use cells susceptible to expressing nucleic acid molecules of poxviruses - either heterologous or homologous nucleic acid molecules, *e.g.*, cells susceptible to poxvirus infection and/or cells in which a poxvirus can have expression of at least some gene products (either heterologous or homologous gene products) without productive replication of the virus (*e.g.*, wherein the cell is not naturally a host of the particular poxvirus such as infecting a mammalian cell with an avian poxvirus); and, these cells may be infected with a poxvirus or a recombinant poxvirus for reproduction of and/or expression from the poxvirus (or, one can use insect cells and infect them with an insect poxvirus or a recombinant insect poxvirus – either one that has reproduction and/or expression in such insect cells (*e.g.*, wherein the insect cell is a natural host of the poxvirus) or has expression without productive replication in such insect cells (*e.g.*, wherein the insect cell is not a natural host of the poxvirus)).

Similarly, there are other vector systems such as bacterial, and yeast systems, minichromosomes, retrovirus vectors (*e.g.*, murine leukemia viral vectors), retrotransposons or virus like particles, bovine papilloma virus vectors, SV40 based vectors, mammalian cell systems, other viral systems *e.g.* herpes virus systems, adenovirus systems, and DNA plasmid systems, *inter alia; see, e.g.*, U.S. Patent No. 4,769,331 (recombinant herpesvirus), Roizman, "The function of herpes simplex virus genes: A primer for genetic engineering of novel vectors," PNAS USA 93:11307-11312, October 1996, Frolov et al., "Alphavirus-based expression vectors: Strategies and applications," PNAS USA 93:11371-11377, October 1996, Kitson et al., J. Virol. 65, 3068-3075, 1991; U.S. Patent Nos. 5,591,439, 5,552,143 (recombinant adenovirus), Grunhaus et al., 1992, "Adenovirus as cloning vectors," Seminars in Virology (Vol. 3) p. 237-52, 1993, WO 98/33510, Ballay et al. EMBO Journal, vol. 4, p. 3861-65, Graham, Tibtech 8, 85-87, April, 1990, Prevec et al., J. Gen Virol. 70, 429-434, PCT WO91/11525; Ju et al., Diabetologia, 41:736-739, 1998 (lentiviral expression system); Felgner et al. (1994), J. Biol. Chem. 269, 2550-

2561, Science, 259:1745-49, 1993 and McClements et al., "Immunization with DNA vaccines encoding glycoprotein D or glycoprotein B, alone or in combination, induces protective immunity in animal models of herpes simplex virus-2 disease," PNAS USA 93:11414-11420, October 1996, and U.S. Patents Nos 5,591,639, 5,589,466, and 5,580,859 relating to DNA expression vectors, *inter alia*, Fischbach et al. (Intracel) WO 90/01543 (method for the genetic expression of heterologous proteins by cells transfection); and Robinson et al., seminars in IMMUNOLOGY, vol. 9, pp.271-283 (1997) (DNA vaccines). Cells useful with such other vector systems can be employed in the bioreactor system and methods of use thereof of the present invention; and, such cells can be infected or transfected or have plasmids containing exogenous DNA inserted therein, as the case may be depending on the cell and vector system, prior to or during or after growth and being employed in the inventive bioreactor and methods of use of the invention, e.g., for protein production using the inventive bioreactor and methos of use via those cells and another vector system.

With respect to terms, reference is made to documents cited herein, and generally to Kendrew, The Encyclopedia Of Molecular Biology, Blackwell Science Ltd., 1995 and Sambrook, Fritsch and Maniatis, Molecular Cloning, A Laboratory Manual, 2nd Ed., Cold Spring Harbor Laboratory Press, 1982 ("Maniatis et al., 1982").

*CERTAIN SYSTEMS OF THE INVENTION:* Systems and certain advantageous embodiments of the invention can be practiced is illustrated in FIGS 1 to 4, 9 and 10.

As shown in FIG 1, a system can include three interconnected modules, the cell culturing loop 100, the medium replenishment loop 200 and the hollow fiber dialysis device 300. Figures 2-4 show these loops and device, with Figure 9 listing components in certain advantageous embodiments of the invention, and Figure 10 providing a flow diagram of the processor, microporcessor or computer functions in an embodiment of the invention.

**THE CELL CULTURING LOOP:** The cell culturing loop 100 can include a bioreactor 110 (that contains cell culture or culture in use), advantageously a stirred tank bioreactor, onto which is attached a headplate assembly 111. This headplate can contain a number of ports 112-115 through which the contents of the bioreactor 100 can be circulated, sampled and monitored. Thus, the bioreactor 110 can include optional stirring means, illustrated in Fig. 1 by mechanical stirrer 110a that has its motor positioned above bioreactor 110; but, other stirring means can be employed, such as a magnetic stirrer (as in the media reservoir; however, the stirrer should not

interfere with probes or other devices that may be present and may monitor or control parameters within the cell culture and a magnetic stirrer may so interfere as a magnetic field in motion can generate an electrical field and such fields could interfere).

In a preferred embodiment, the ports can include a cell take up port 112 through which cells in culture are removed from the bioreactor using a pump 120, and a cell return port 113 through which the cells are returned to the bioreactor 110 following circulation, e.g., through the hollow fiber dialysis device 300, the optional at least one or a number of probe ports 114 to measure and/or control culture conditions (e.g., probe ports 114a to 114e – more or less probe ports can be provided, depending upon how many conditions one wishes to monitor or have controlled e.g. monitor and/or control via a processor, computer or microprocessor; for instance, there can be a probe for any one, or any combination, or all of: pH, conductivity, oxygen, carbon dioxide, nitrogen, glucose (and/or other nutrient(s)), ammonia (and/or other waste product(s)), temperature, cell density, cell count; and, these probe(s) can lead to one or more microprocessor, processor or computer, which in turn can be connected to sources for supplying or altering any one or all of these parameters, whereby the parameters are altered or supplied in response to measurements from the probes), the optional at least one sampling port 115 through which culture aliquots can be removed e.g., steriley removed, to microscopically examine the culture or to directly measure culture metabolites for example, and a vent tube 116 that allows for pressure equilization in the bioreactor 110.

The cell culturing loop 100 can also optionally include at least one three-way valve, illustrated as two three-way valves 130 and 140, through which culture components can either be steriley added or removed (e.g., via lines 130a or 140a) without having to access the bioreactor directly. Note that addition or removal of culture components can occur at either three-way valve. Further, note that these three-way valves can be controlled by a processor, microprocessor or computer; for instance, they can be opened and shut for introduction or removal of components automatically, e.g., opened automatically for introduction of components in response to data collected at the sensors/probes 114.

Additionally, illustrated embodiments have the cell culturing loop 100 also including the optional oxygenation loop 150 that allows for in-line addition of oxygen and/or other gases to the culture. This oxygenation loop contains an oxygenation device 151 that in the preferred embodiment is a hollow fiber oxygenator. In this oxygenator would be a lumen inflow port 152

through which the circulating cell culture would enter the lumen of the oxygenator, a lumen outflow 153 through which the circulating cell culture would exit the oxygenator, a gas input port 154 through which oxygen or a gas mixture containing oxygen would enter the oxygenator, a gas output port 155 through which excess gas would leave the oxygenator and a selenoid 156 that would control the amount of oxygen added. Note that the placement of the oxygenation loop is, in a preferred embodiment, such that oxygen is added after culture medium dialysis in the hollow fiber dialysis device 300 but before the circulating culture medium is returned to the bioreactor 110. Note further that the input at gas input 154 can be automated, e.g., controlled by a processor, microprocessor, computer or the like, such that gas input 154 can be used for introduction of oxygen and other gases such as nitrogen, air, and carbon dioxide; for instance, in response to data from probes/sensors 114. Thus, data from probes/sensors 114 can go to a microprocessor, processor or computer, that adjusts gas input at gas input 154 in response to that data. And, as mentioned, oxygenation means other than the oxygenation device 151 can be employed in the practice of the invention. And, note that as discussed herein, the ports of oxygenation device 151 can be “flipped”; e.g., line 113b can flow into input 154 and output 155 can flow to line 113, with gas introduced at port 152 and exiting at outflow 153.

**THE MEDIUM REPLENISHMENT LOOP:** The medium replenishment loop can include media reservoir 210, a pump (or pumping means or circulating means) 220, an optional valve loop 230 and an optional individual valve 240.

The media reservoir 210 can include closed media vessel 211 (that contains media in use) take up line 212 that allows for the media to be circulated from the vessel 211, a vent tube 214 that allows for pressure equalization in the media vessel, and optionally stirring means such as stir bar 215 that agitates the media in the vessel 211. The stir bar 215 movement can be powered by a variable speed magnetic motor 216 onto which the media vessel 211 is placed; or, there can be other stirring means provided, such as a mechanical stirrer that is powered by a motor above the media reservoir (*cf.* stirrer 110a).

The media is circulated from the vessel 211 by pump 220 to the hollow fiber dialysis device 300 (extra-luminal input 303) via a media outflow lines 250 and 250a (that are on either side of the pump). After passing through the Hollow Fiber Dialysis Device 300, the media exits the Device via extra-luminal outflow 304. From outflow 304, media passes through lines 260c,

260b, 260a and 260 to media return tube 213, through which media returns to the media vessel 211 (after it has been through the hollow fiber dialysis device 300).

The media return path can include optional extraction loop 230 that can include one or more and preferably three, three-way valves 231, 232 and 233. The first three-way valve 231 can be used to divert the flow of return media to optional line 230b to the optional second three-way valve 232 that can be used to collect (e.g., sample) media after it has passed through the hollow fiber dialysis device 300 to analyze the media for culture metabolites in an in-line fashion. In its default position the first three-way valve 231 bypasses the extraction loop 230. The third three-way valve 233 serves to direct the media flow back to the main return lines 260a, 260 and 213 (from valve 232 and line 230a), or in its default position completes the bypass of the extraction loop 230 by the media. Another item in the medium replenishment loop is the optional sampling three-way valve 240 between lines 260a and 260 (downstream of the extraction loop, between the extraction loop and the media reservoir) where, for instance, additional media can be obtained for analysis (via line 240a). The default position of this valve 240 simply returns the media to the media vessel 211.

Alternatively or additionally, the extraction loop and/or the valve 240 can run to or be supplied with (e.g., via line 240a) a series of sensors or probes (e.g., glucose, nutrient content, and/or ammonia, waste content, etc.); and, these probes or sensors can be connected to a processor or computer or microprocessor that can collect information and/or be further connected to supply lines for the media or components thereof.

For instance, media can come out of line 230c, be run through yet another dialysis loop, e.g., to remove waste etc. and increase nutrient concentration and then return to the medium replenishment loop via valve 240. Consider that at predetermined times, valves 231 and 230 can be automatically opened by a processor, microprocessor or computer, for sampling parameters of the media, e.g., glucose, nutrients, pH, conductivity, etc. and that in response to that data, media can be run through line 230c to a dialysis loop (not shown) for removal of waste and increase of nutrient concentration and then return to the medium replenishment loop via valve 240.

Alternatively or additionally, sensors, probes, etc. at line 230c can sense glucose/nutrient concentration and/or ammonia/waste concentration and/or pH and/or conductivity etc., and additional glucose/nutrients and/or liquid to dilute the media and/or components of the media can be added via valve 240 and line 240a, to adjust glucose/nutrient concentration and/or

ammonia/waste concentration and/or pH and/or conductivity etc., in response to the measurements taken at line 230c; and, this can all be done via a processor, microprocessor or computer connected to the sensors/probes at line 230c and the supply line(s) 240a feeding into valve 240. (Indeed, valves 231, 232, 233 and 240, as well as all valves in the operation of the invention, can be automatically controlled, e.g., controlled by way of a processor, microprocessor, computer, etc.; e.g., at a predetermined time the processor, microprocessor, computer causes valves 231 and 232 to open to allow a sample of media to run from valve 231 to line 230b and then to valve 232 and out line 230c to sensors/probes, for a data sampling, with those valves subsequently closed for normal operation; and, valve 240 would be automatically opened for introduction of any necessary components via line 240a to adjust the media in response to the readings from the sensors/probes.)

Thus, a microprocessor, processor or computer could first ask if the time is such for a sampling of the media, and if yes, then appropriately open valves 231 and 230 for the sampling. The processor can then collect data regarding pH and/or glucose/nutrient concentration and/or ammonia/waste concentration and/or conductivity, etc. and if the data values are not in accordance with preset optimum values, then either direct the media through another dialysis loop and send the further dialyzed media back to the reservoir via line 240a and valve 240 or add appropriate components to the media via line 240a and valve 240.

**THE HOLLOW FIBER DIALYSIS DEVICE:** The hollow fiber dialysis device is composed of a lumen space 310 and an extra-luminal space 320. In a preferred embodiment, material from the cell culturing loop 100 is pumped through the lumen space 310 and media from the media replenishment loop 200 is pumped through the extra-lumen space 320.

**CERTAIN PROCESSOR/MICROPROCESSOR/COMPUTER FUNCTIONS:** Figure 10 provides a flow chart of certain functions that can be automated in the practice of certain embodiments of the invention.

Data from probe/sensor 114 or 114a-e, such as any one of or any combination of or all of pH, oxygen concentration, carbon dioxide concentration, nitrogen concentration, temperature, conductivity, glucose/nutrient level ammonia/waste level, is fed to processor, microprocessor or computer 1000 that can advantageously be a BioFlo3000 or equivalent commercial product; and, the processor, microprocessor or computer is connected to sources for ingredients and inputs of

the system such that the processor, microprocessor or computer can add ingredients to the system via inputs in response to data from the sensors/probes.

In step 1001 there is a comparison between the cell culture pH (ccpH) with a set value "A". "A" can be a pH in the range of about 6 to about 7.4, for instance, about 6 to about 7, such as about 6.1 to about 6.7, e.g., about 6.1 to about 6.5, and advantageously about 6.1 to about 6.35 such as about 6.25 (an optimal value for certain insect cells employed in exemplified embodiments). "A" can be set to a pH that is optimal for the particular cells employed in the inventive bioreactor system and methods of use thereof. In step 1002 the processor, microprocessor or computer asks if ccpH does not equal the set value "A" and if so, directs towards adjusting carbon dioxide concentration in the system; that is carbon dioxide is employed to control pH and the trigger is the set value "A", e.g., about 6.25.

In step 1011 there is a comparison between the cell culture oxygen concentration (ccO<sub>2</sub>) with a set value "B". "B" can be in the range of about 30% to about 90% such as about 40% to about 80%, for instance about 50% to about 70%, advantageously about 60% (optimal values for certain insect cells employed in exemplified embodiments). Thus, "B" can be greater than 40%, e.g., greater than 40% and can go as high as about 90% or even 95%; an advance in the art. "B" can be set to an oxygen concentration that is optimal for the particular cells employed in the inventive bioreactor system and methods of use thereof (for instance, less oxygen if the cells tend to optimally perform under more anaerobic conditions, and the like). In step 1012 the processor, microprocessor or computer asks if ccO<sub>2</sub> does not equal the set value "B" and if so, directs towards adjusting oxygen concentration in the system.

Steps 1002 and 1012 flow to step 1003/1013. Step 1003/1013 directs the system as follows: If ccpH>A (e.g., if pH rises above trigger value such as 6.25), then increase carbon dioxide concentration (e.g., add carbon dioxide at input 154); if ccO<sub>2</sub><B, then increase oxygen concentration (e.g., add oxygen at input 154); and, ccO<sub>2</sub> can vary as a function of time t; e.g., if ccO<sub>2</sub> plotted as a function of time t, with ccO<sub>2</sub>=y and t=x, plot can be a sin wave (for instance, the x axis runs through the y axis at point B, e.g., oxygen concentration of approximately 60%, with the amplitude being approximately 20% to 30%, e.g., the high point of the wave above the x axis can be at about 80% to 90% and the low point of the wave below the x axis can be at approximately 40% to 30%, with the oxygen concentration cycling from approximately 80 to 90% to approximately 40 to 30% over a time of about 10 to about 30 minutes, advantageously

about 20 minutes, e.g., there can be two waves – one above the x axis and one below the y axis – about every 10 to 30 minutes advantageously about every 20 minutes, such that if “frequency” in this instance is the number of waves that pass a point about 10 to about 30 minutes, advantageously about 20 minutes, then the frequency is 2, or there is a wavelength about 10 to about 30 minutes, advantageously about 20 minutes).

Thus, carbon dioxide can be used to control pH, with the trigger being the set value for the pH, e.g., about 6.25; and, if the pH rises above this value, the carbon dioxide is “turned on” – added to the system. The addition of carbon dioxide, of course, reduces the oxygen concentration, and the system allows the oxygen concentration to fluctuate a relatively constant amount above and below the set value, or cycle over time (e.g., about 10-30 min. such as about 20 min), for instance, from about 30 to about 90% or about 40 to about 80%, with about 60% being a set value (i.e., about 20-30% above 60% and about 20-30% below 60% over a course of about 10-30 min such as about 20 min). The carbon dioxide thus can be set to 0 to 100%, as it is a variable that is adjusted by the microprocessor, processor or computer; in contrast to any previous reports advising that carbon dioxide accumulation is a problem. Further, the apparatus and methods of the invention are surprising, especially as insect cell cultures reportedly do not require  $\text{HCO}_3^-/\text{CO}_2$  buffering (Karmen et al., *supra*).

This sin wave or cycling or rhythm or periodicity that has been observed when the system is automated can be a function of mechanical or chemical or biological processes occurring within the system. However, but without wishing to necessarily be bound by any one particular theory, it is believed that pH changes can occur due to cellular activites, e.g., ammonia and lactic acid can be released as wastes from cells, with a change in pH. pH change can trigger the addition of carbon dioxide. The addition of carbon dioxide can cause a lowering of the oxygen concentration. And lowering of the oxygen concentration can cause an addition of oxygen to the system (or a decrease in the addition of other gases to the system). That is, there can be a cycling of the oxygen via carbon dioxide adjustments based on pH. The nature of the cycling (e.g., sin wave vs. another wave such as cosine, amplitude and frequency of wave, etc.) can be adjusted by varying the set values e.g., for instance the values for oxygen, and/or pH.

In step 1021 there is a comparison between the temperature of the cell culture,  $cT$ , with a set value for temperature,  $T$ . At step 1022, the question is whether  $cT < T$ , and if so, then the microprocessor, processor or computer directs increasing temperature or heat applied and/or

reducing cooling. At step 1023, the question is whether  $cT > T$ , and if so, then the microprocessor, processor or computer directs decreasing temperature or heat applied and/or increasing cooling (a heating/cooling jacket can be supplied in a surrounding relationship to the bioreactor and/or the media reservoir).  $T$  can be set to a value that is optimal for the cells, for instance, depending upon whether the cells function at low temperatures or high temperatures, such as about 15° to about 55°C, such as about 20° to about 40° or 35°C, advantageously about 25° to about 35°C, for example about 26° to about 30°C or about 20° to about 28°C such as about 24°C to about 28°C; and in exemplified embodiments about 28°C (but, like other parameters, e.g., pH, oxygen, etc. temperature is set to a value that is optimal to the particular cell employed in the system).

Thus, as illustrated in Fig. 10, the output from microprocessor, processor or computer 1000 is to the system, e.g., inputs such as 154, 130a, 140a and heating/cooling for the media reservoir or for the bioreactor. Accordingly, in an embodiment of the invention data from sensors/probes 114 can be sent to microprocessor, processor or computer 1000 that adjusts and/or controls pH, oxygen, temperature and carbon dioxide, with set values for these parameters; and, gas input into the system is oxygen, carbon dioxide, nitrogen and air. In practice of the invention, gases from Tech-Air manufactured by BOC Air Co. are advantageously employed.

Accordingly, in an embodiment of the invention there can be sensors/probes and/or controls for oxygen, carbon dioxide, temperature and pH; or for oxygen, carbon dioxide and pH (e.g., steps 1021, 1022 and 1023 can be omitted by the microprocessor, processor or computer; for instance, system run at room temperature, such as a room maintained at a fairly constant temperature).

In further embodiments, nitrogen can be set and adjusted as is optimal for the cells. Air can be added as is optimal for the cells or in response to oxygen and carbon dioxide levels. Further still, glucose and/or nutrient levels and/or ammonia and/or waste levels and/or conductivity can be measured via sensors/probes 114, with the microprocessor, processor or computer adding glucose, nutrients, etc. at any or all of inputs 130a, 140a and 240a; that is, the microprocessor, processor or computer can add to either or both loops of the system.

**STILL FURTHER EMBODIMENTS:** As mentioned, the use of the dialysis device is considered novel. Thus, a variation on the present invention can be wherein oxygenation loop

150 of Figure 1 is omitted (such that line 113b runs directly into cell return 113). Oxygenation can be omitted in these embodiments or supplied by alternative means such as by chemical means added to the system.

Also as mentioned, use of the oxygenation loop 150 is considered novel. Accordingly, a variation on the present invention can be wherein hollow fiber dialysis device 300 is omitted (such that line 112b connects to line 260c and line 250a connects with line 113a). In these embodiments, waste removal can be performed at the end of cell growth or by alternative means.

The invention can be used for producing important biological substances including recombinant proteins, viruses and the cells themselves. The invention in advantageous embodiments can provide a cell culture unit, a bioreactor; the replenishment medium unit, a reservoir of nutrient medium; a semi-permeable membrane unit, the hollow fiber filter; and an oxygenation unit, an external source of oxygen and/or other gases.

The invention is advantageously applicable to growing cells such as insect cells, and generating vectors such as viruses, e.g. baculovirus, for instance, recombinant vectors such as recombinant viruses, e.g., recombinant baculovirus, and to expression of recombinant proteins therefrom.

The generation and use of recombinant vectors such as viruses, e.g., baculovirus, is known; for instance, from documents cited herein, including the patent applications and patents cited herein and documents cited in those patent applications and patents. The conditions limiting the growth of cells such as insect cells are nutrients, oxygen, and the levels of growth factors and inhibitors. The nutrient requirements for cells such as insect cells have been studied extensively and a variety of highly enriched commercial culture media, including serum-free media, have been developed. After nearly 20 years of research into these improved media formulations, prior to the present invention, there have been no significant improvements have been made on the growth rates, density, or expression levels of cells such as insect cells e.g., *S. frugiperda* and other lepidopteran insect cells; with only minor improvements in the yield of vectors such as viruses, e.g., baculoviruses or vector or virus, e.g., baculovirus gene products.

The *S. frugiperda* Sf-900+ (also termed herein Sf-900) cell growth is exponential at concentrations as low as  $0.5 \times 10^6$  cells/mL up to  $6 - 9 \times 10^6$  cell/mL. Interestingly, the cessation of the growth of insect cells occurs when the medium is still nutritionally sufficient suggesting that other factors, such as high levels of cell growth factors or other factors, may inhibit cell

growth. Even more dramatic is the observation that infection of Sf-900+ cells with baculoviruses is inhibited at cell densities of  $3 \times 10^6$  or higher suggesting again that there are inhibitory factors in the media. Also, the oxygen demand increases following infection reaching a peak about 2 days post infection of approximately twice the oxygen required during cell growth.

Especially advantageous elements of an improved bioreactor system and process for the growth of *S. frugiperda* cells and the production of recombinant protein are found in Figures 1-4 and 9, and optionally also in Figure 10: High-Density Dialysis Bioreactor with In-Line oxygen. Fig 2, shows a stirred cell bioreactor 110 with an outside loop for the circulation of cells from the bioreactor to a semi-permeable membrane, preferably a hollow fiber filter 300. The cell suspension circulates through the filter, preferably the internal partition (lumen) 310 of a hollow fiber filter, then back to the bioreactor; labeled the Cell Circulation Loop in the drawing. Also provided, as shown in Fig. 3, is a vessel 210, also with an outside loop for the circulation of medium through a semi-permeable membrane 300, preferably a hollow fiber filter. The medium (called the regeneration medium or media) circulates through the filter, preferably the external partition (extra-luminal) 320 of a hollow fiber filter; called the Media Circulation Loop. The filter is advantageously semi-permeable, e.g. with pores of up to about 0.60 to about 0.70, such as about 0.65  $\mu\text{M}$ , in diameter, which excludes cells from passing from the bioreactor to the regeneration medium but allows smaller molecules like glucose and amino acids or waste products like lactic acid and ammonia to diffuse across the membrane. (The pore size can vary depending upon the cells employed in the bioreactor system and process of the invention, e.g., smaller pore size for smaller cells.)

The hollow fiber filter (Fig. 3) in this bioreactor system and process acts much like blood vessels in an animal where blood circulating through the gastro-intestinal tract acquires recently absorbed nutrients and passing through organs like the liver and kidneys where additional nutrients and metabolic waste products, respectively are added or removed from circulating blood.

In a preferred embodiment, a second hollow fiber device 150 optimized for the exchange of oxygen gas is inserted prior to the cell return port of the bioreactor. It is preferred that oxygen is added to the cell circulation loop immediately prior to return as this configuration reduces the lag time between the dissolved oxygen sensor located internally in the bioreactor and return of

oxygenated cells into the bioreactor. This minimizes the possibility of over oxygenating the system.

Or, in yet a further alternative embodiment, a second hollow fiber device, optimized for the exchange of oxygen gas, is prior to or after the hollow fiber filter device employed for medium replenishment.

Or, in another alternative embodiment, in-line oxygen is added directly through a valve (e.g., a Y or T valve) in the cell circulation loop immediately before the hollow fiber filter (such that the hollow fiber filter is functioning for dialysis between the media and the cell culture and to dissolve oxygen into the system, e.g., oxygen is mixed with circulating cells and media, as it passes through the lumen of the hollow fibers in the filter device and is carried back to the bioreactor as exceedingly small bubbles or dissolved in the culture medium).

Any excess gas diffuses into the bioreactor tank head space and out a vent in the head plate of the bioreactor.

The circulating flow of cells in the cell circulation loop and the flow of regenerating medium in the media circulation loop are advantageously controlled with pumping or circulating means and these can be peristaltic pumps. The two streams can flow either in concurrent directions or in counter-current directions with equal success. The pumps can also be controlled by the processor, microprocessor or computer, e.g., to adjust flow rate in response to temperature, pressure, or other parameters such as pH, conductivity, amount of glucose/nutrient or ammonia/waste in system, carbon dioxide, or oxygen.

In certain embodiments described and exemplified herein, the bioreactor is a stirred two liter tank bioreactor (but, the invention is not limited to this size bioreactor), *S. frugiperda* insect cells such as Sf-900 (also termed herein Sf-900+) are seeded in two liters of cell medium. The temperature of the cells is maintained at about 20°C to about 28°C such as about 24°C to about 28C, e.g., about 27°C to about 28°C and the cells are kept suspended by means of an impeller rotating at about 200 rpm.

During operation, the replenishment medium, housed in a 10 liter glass vessel, is pumped to fluid inlet 303 of the extra-luminal partition 320 of the hollow fiber dialysis device by means of a suitable pump, such as a Masterflex L/S Model 7520-00 with dual Easy-Load II Model 77200-62 pump heads with flexible silicone tubing, 6.4 mm i.d. size (Masterflex, size 15).

Medium progresses through the extraluminal chamber, finally exiting the hollow fiber filter device 304 and returning to the medium replenishment vessel 210 through flexible silicone tubing. Tubing to tubing connections are Swagelok 8mm port connectors. Glass to tubing connections are secured by cable ties. Replenishment medium can be selected from any number of suitable sources including but not limited to SF-900. An optimum rate of flow through the replenishment medium loop is about 100ml/min but the process operates satisfactorily at speeds as low as about 10ml/min, as high as about 3000ml/min. Optimum flow rates can be related to hollow fiber membrane area.

An external vent tube 214 with a filter attached to maintain sterility can be regulated as required by means of a clamp or ball valve.

Simultaneously, medium with suspended cells are continuously pumped from the stirred tank bioreactor by means of pump such as a Masterflex L/S Model 7520-00 with dual Easy-Load II Model 77200-62 pump heads with 6.4 mm i.d. size flexible silicone tubing (Masterflex, size 15).

The optimum rate of flow through the loop is about 100ml/min but the process operates satisfactorily at speeds as low as about 13ml/min although some cell lines begin to settle out in the loop at this speed and at flow rates as high as about 3000ml/min. above which shear forces increase to the point of inducing measurable cell damage. This cell suspension is first passed through a Y or T valve 130 where viral inoculum can be added to the cell suspension. The cell suspension next passes to the lumen of the hollow fiber filter 310 by way of the lumen input manifold 301 (A/G Technology, Corp; model CFP-6-D-8A, 0.65 micron pore size,  $0.41\text{ m}^2$  membrane surface area) where exchange occurs between the nutrient-rich replenishment medium and the cell medium containing metabolic waste products. The hollow fiber with dialysis, ultrafiltration and microfiltration properties can range in pore size from 30kD cutoff to  $0.65\mu\text{M}$  diameter. Filters of pore sizes smaller than 30kD cutoff may not provide adequate diffusion while those larger than  $0.65\mu\text{M}$  diameter may allow cells to pass through to the medium replenishment loop, reducing the activity within the bioreactor (although these parameters can be varied by the skilled artisan depending on the particular cells used or depending on the size of the cells in the bioreactor system and process; e.g., depending upon physical characteristics of particular cells). The membrane surface area can range from  $0.042\text{m}^2$  to  $4.2\text{m}^2$  to provide adequate exchange of replenishment nutrients and metabolic waste products in a 1L culture.

Nutrients pass along a concentration gradient from the replenishment medium side of the hollow fiber filter to the cell suspension side of the device. Metabolic waste products pass along a concentration gradient from the cell suspension side of the hollow fiber filter to the replenishment medium side of the device. The cell suspension is next passed through an oxygenation device 150, such as the OXY-1 hollow fiber oxygenator (UniSyn Technologies). Alternatively or additionally oxygen can also be directly sparged in-line. For instance, oxygenation loop 150 can be omitted or supplemented by oxygen directly sparged into the system via line 130a (a selenoid such as selenoid 156 can be added to line 130a). “In-line sparging” can mean adding oxygen directly into the circulating cell culture, advantageously upstream or prior to return of the circulating cell culture to the bioreactor; and, preferably the oxygen is directly added to the circulating cells prior to or upstream of any dialysis means. This is in contrast to adding oxygen into the bioreactor. In other embodiments oxygen can be supplemented through the medium recirculation loop or through the hollow fiber filter unit. In any of these cases oxygen is advantageously maintained at about 60% of saturation relative to air (with constant variation permissible as herein discussed). An oxygen probe 114a can be connected to a control unit (microprocessor, processor, computer) which can regulate the flow of oxygen through selenoid 156 into input 154. Thus, a simple embodiment can involve an apparatus as illustrated in Figs. 1-4 and 9, wherein sensors/probes 114 includes sensor/probe 114a connected to a control unit that regulates the flow of oxygen through input 154 such that the oxygen is advantageously maintained at a substantially constant saturation or concentration (e.g., sensors/probes/control for pH, carbon dioxide can be omitted; sensor/probe/control for temperature may be present or omitted, for instance if system run at room temperature advantageously in room that is kept at fairly constant temperature).

Depending on the oxygenation site, pressure equalization between the bioreactor and the medium vessel may be required i.e. a line connecting both vessels' vent ports can be incorporated.

Cells can be returned to the bioreactor in a medium high in oxygen content and nutrients.

The replenishment nutrient stream returns to the replenishment nutrient vessel with added metabolic waste products and reduced in nutrients. Through the use of valves in the medium recirculation loop, the replenishment medium vessel can be refilled as needed, either because of nutrient depletion or waste product accumulation. Or the entire medium vessel can be replaced

with similar or different medium, such as switching between a growth optimized medium and an expression optimized medium.

As mentioned herein, these activities can be automated, e.g., through the use of a computer, microprocessor or processor. For instance, as discussed, valves 231 and 232 and 233 can be automated, with valves 231 and 232 opening at predetermined times for sampling through line 230c, and based upon the data, additional medium added, and/or the medium replaced, and/or the medium further in line filtered or dialyzed. And, as discussed, further in line filtering or dialysis and adding of medium can be part of an automated process, e.g., employing valve 240.

The replacement of media too can be automated; for instance, “old” media can be removed via valve 232 (e.g., with a flow being from line 260a with valve 231 open for flow through both lines 260b and 230b or only through 230b or with flow being though line 260c through valve 231 to line 260b and valve 233 set for flow to continue to both lines 230a and 260a) while “fresh” or “new” or “different” media (as desired) added via valve 240 in a commensurate or sufficient amount relative to the removal at valve 232, over a period of time. Or, at lines 250 and 260 there can be T or Y valves that connect to a second media reservoir and when a particular period of time has passed or particular data is sensed e.g., at line 230c (such as glucose/nutrient and/or ammonia/waste concentration), these valves are engaged such that the system is in communication with the second media reservoir (either alone or in conjunction with the first media reservoir). Thus, media reservoirs (two or more media reservoirs) can be serially connected and activated for automatic changing of media.

Further, and additionally or alternatively, the replacement of media can be by means of a “tracer”. More in particular, as “old” media can be removed via valve 232 (e.g., with a flow being from line 260a with valve 231 open for flow through both lines 260b and 230b or only through 230b or with flow being though line 260c through valve 231 to line 260b and valve 233 set for flow to continue to both lines 230a and 260a) with “fresh” or “new” or “different” media (as desired) added via valve 240, the media being added can contain a nutrient, electrolyte or some other chemical or physical moiety that is not deleterious to the system, and preferably advantageous to the system (such as a nutrient or electrolyte beneficial for the cells or a particular cell phase) that is not present in the “old” media being removed; a tracer.

For instance, the tracer can be a particular nutrient or electrolyte in the new media that is not in the old media. It can function as a tracer because its concentration or how it affects a

parameter, such as pH or conductivity, can be used as a measure for the endpoint of adding new media.

Consider, for example, that the tracer is a particular nutrient or electrolyte that can pass through the dialyzing means into the cell culture. As the concentration of that nutrient in the cell culture reaches a desired value and/or as the pH and/or conductivity of the cell culture changes to a desired value (e.g., as sensed at 114), such is indicative of the “old” media having been sufficiently replaced by the “new” media; e.g., microprocessor, processor or computer obtaining data from sensor 114 has a function F1 asking when concentration of tracer (e.g., nutrient and/or electrolyte) “[tracer]” in cell culture medium and/or cell culture medium pH and/or cell culture conductivity = value “C” (or C1 for tracer and/or C2 for pH and/or C3 for conductivity - e.g., representative of a desired amount of the nutrient or electrolyte in the cell culture from the new media), then cease adding new media and cease removing old media (stop adding via valve 240 and/or removal at valve 232); and, this function F1 can come into play after an earlier function began the process of adding new media and removing old media (that earlier function can be a function of a period of time having passed from the initiation of use of the old media in the media loop, or in response to other parameters such as levels of waste and/or nutrient in the cell culture, e.g., if waste higher than a desired level and/or nutrient lower than a desired level). (F1: C (and/or C1 and/or C2 and/or c3)=[tracer] and/or set pH and/or set conductivity - if yes, then close removal and/or addition valves; if no, continue with removal and/or addition.)

“Tracing” can also be performed exclusively in the media loop. For instance, a sensor at the removal valve, e.g., can detect the level of the tracer, and the computer, processor, microprocessor cease addition of new media and/or removal of old media based on the level of tracer detected at that point. In this way, the tracer can be a physical and/or inert entity and/or that which does not pass through or need to pass the dialysis filter.

Moreover, from the foregoing, the invention accordingly comprehends that there be at least one media reservoir, e.g., that there can be two or a plurality of media reservoirs. In similar fashion, bioreactors can be serially connected and automated, e.g., for automatically changing or increasing the cells in the system.

Additionally or alternatively, cell density or cell count can be measured at line 140a or 130a, and when a certain cell density is achieved, the microprocessor, processor, or computer can allow for introduction of a vector to infect or transfect the cells (e.g., through the other of lines

140a and 130a) and/or for changing of media and/or adding of ingredients (new ingredients or additional ingredients) to the media (via lines as discussed above, e.g., via line 240a and valve 240). For example, the computer, processor or microprocessor can take cell density/count measurement(s) via line 140a or 130a at certain times; if the measurement equals or exceeds a set value, then the vector is added (e.g., through the other of lines 140a and 130a), so that the cells can be infected and/or transfected, such as with a virus or vector, for instance, a recombinant vector or virus, e.g., a baculovirus. Accordingly, the system can allow for automatic infection/transfection at a point of optimal cell density/count. For example, at a cell density/count of about 4.5 million or higher, such as at about 5 million or about 10 million or about 15 million or about 16 million or about 19 million or about 22 million or higher (e.g., with insect cells; *see, e.g.*, Examples, *infra*), the vector can be added. The skilled artisan, without undue experimentation, can set the optimal cell density/count level for infection/transfection, from this disclosure and the knowledge in the art, considering such factors as the type of cell and the vector or virus being employed. On this point, it is noted that Wedgewood Technology Incorporated (San Carlos CA; [www.wedgewoodtech.com/web/index.htm](http://www.wedgewoodtech.com/web/index.htm)) makes an absorbance probe (model BT65) that can be used for measuring cell density (as do other commercial suppliers). The Wedgewood Technology BT65 can be used with their model 612 single beam photometer or their model 653 absorbance monitor. The BT65sensor/653 monitor has analog outputs that can be connected to a computer, processor or microprocessor via an analog to digital interface (converter), without any undue experimentation. Thus, apparatus for measuring cell density are known in the art (e.g., absorbance sensors/monitors for measuring cell density) and can be used in conjunction with the invention (e.g., by connecting outputs from such units, for instance via an analog to digital converter or interface to a computer, processor or microprocessor), without any undue experimentation. Moreover, the invention comprehends that infection/transfection of cells can be automated, as can the replacement or supplementing of media; for instance, on the basis of cell density/count measurement.

Further, it is noted that the valves 140, 130, 240 and the loop 230 (via lines 140a, 130a, 240a and 230c, respectively) can be employed for removing expressed products from the system; e.g., removal of fluid from one port and replenishment or addition back into system when protein removed or with fresh or new fluid added to make up for that removed for product removal via another port. For instance, a suitable port can be connected to a separation means, e.g., a dialysis

means or other means that may remove the expressed product without disrupting the cells if they are present in the fluid and the fluid thereafter returned to the system (with or without addition of new or fresh fluid); or a suitable port can be connected to means for processing the cell culture for expressed product isolation (e.g., means for cell lysis or otherwise extracting protein from the cell) and means for purifying and/or isolating the expressed product, with replacement added to the system via another port.

In addition, apparatus and methods of the invention can be used with other means for increasing cell growth and/or recombinant product expression, e.g., nutrient media, nutrients, etc. that enhance cell growth; promoters such as strong promoters or multiple copies of inserted exogenous coding nucleic acid (e.g., DNA) that can lead to enhanced expression levels.

A better understanding of the present invention and of its many advantages will be had from the following non-limiting Examples, given as a further description of the invention and as illustration of it.

### EXAMPLES

**EXAMPLE 1 -      Growth of *Spodoptera frugiperda* (Sf900+) cells  
In High-Density Dialysis Bioreactor  
With In-Line oxygen Sparging**

Two liters of *S. frugiperda* Sf900+ (also called Sf900 in text) insect cells were seeded at  $1.5 \times 10^6$  cells/mL (see Figure 5). Oxygen was supplied initially by direct sparging at 60 L/hr and maintained at 60% saturation relative to air with an oxygen probe in the bioreactor connected to a solenoid regulating the flow of oxygen. The temperature of the cells was maintained at 28°C and the cells were kept in suspension with an impeller rotating at 200 rpm. The pH of the media is generally 6.2. The cells doubled approximately every 24 hours and were  $8.2 \times 10^6$  cells/mL by day 3. On day 3 the cells from the bioreactor were circulated at 100 ml/min through silicon tubing connected to the lumen of a hollow fiber filter (A/G Technology, Corp; model CFP-6-D-8A, 0.65 micron pore size,  $0.41 \text{ m}^2$  membrane surface area) then back to the bioreactor with a peristaltic pump (Masterflex L/S Model 7520-00 with dual Easy-Load II Model 77200-62 pump heads. Using the hollow fiber filter the cells concentrated to 1 liter to a density of  $16.6 \times 10^6$  cells/ml. An external vessel with 9L of media was connected to the second pump head on the same peristaltic pump and media was circulated through silicon tubing at 100 ml/min from the vessel, through the external compartment of the hollow fiber filter, and back to the media vessel. Effective pore size of a hollow fiber filter ranges from a lower limit of 0.05  $\mu\text{M}$  to an upper limit

of 0.65  $\mu\text{M}$  (30,000 d mol. Wt.) which allows for diffusion across the membrane without leakage of cells across the filter. Effective flow rates through a hollow fiber filter range from 10mL/min to 3000mL/min. Below 10mL/min cells settle out of suspension and above 3000mL/min shear forces begin to disrupt cells. At 4 days the cells were at  $26 \times 10^6$  cell/mL and the oxygen rate was increased to 90 L/hr in order to maintain the dissolved oxygen at 60% saturation (relative to air). At 5.1 days the cell density was  $45.9 \times 10^6$  cells/mL and sparging oxygen directly into the bioreactor was no longer sufficient to keep the dissolved oxygen in the cells at 60%. Direct sparging was stopped and the oxygen line was connected directly to the circulating cells with a Y-connector at a position following the pump and before the hollow fiber filter. The oxygen flow rate was reduced from 90 L/hr to 9 L/hr. This so-called in-line sparging restored the dissolved oxygen level to 60% even with a 10-fold reduction in the oxygen flow rate. The reduced oxygen flow has the added advantages of reducing foaming and associated cell damage which is minimal in comparison to direct sparging with a high rate of oxygen flow.

Sf900+ cells doubled approximately every 24 hours with 97% or higher viability and grew to  $74.6 \times 10^6$  cells/ml (Figure 5). In a similar experiment where in-line sparging was used throughout the growth of Sf900+ cells in a 3L bioreactor the cells reached the highest density ever reported for insect cells of  $93.4 \times 10^6$  cells/ml and a viability of 97.4%. Cell growth was examined numerically and closely fits an exponential growth curve of the form  $y = ce^{bx}$  where y is the cell density, x is the time, c and b are constants, and e is the natural log. An exponential curve is shown in Figure 5 that closely fits (R-squared statistic equals 0.9189) the growth of the Sf900+ cells in the dialysis bioreactor.

**EXAMPLE 2 -      Yields of AcNPV Polyhedrin Protein  
In Standard and High-Density Cultures**

One liter of Sf900+ cells were infected with AcNPV baculovirus using an MOI of 0.5 pfu/cell at the standard density of  $1.5 \times 10^6$  cells/mL or at  $16.0 \times 10^6$  cell/mL. The high-density culture was maintained in a 3-Liter dialysis bioreactor (Applicon) as described in Example 1 with continuous in-line sparging of oxygen at a flow rate of 9L/hr. The oxygen was maintained in the high-density bioreactor throughout infection at the set point of 60% saturation of air. After 4 days the infected cells were collected and cellular proteins analyzed on SDS-polyacrylamide gels. The levels of polyhedrin protein were measured (Fig. 6) using a standard protein assay (BCA, Pierce). At  $1.5 \times 10^6$  cells/ml, 800 milligrams of polyhedrin protein were produced per

liter of infected cells. In the high-density culture over 10,374 mg produced per liter of polyhedrin was from 100 g of wet cells (biomass). This is the highest yield of polyhedrin protein ever reported for production in cultured insect cells. The relative yields of polyhedrin protein per gram of cells was over 100 milligrams/gram, higher than the 62.5 milligrams/gram of infected cells produced at the standard density demonstrating that the yield per cell of polyhedrin is actually higher in the high density cultures compared to standard conditions.

**EXAMPLE 3 - Yields of Recombinant Hemagglutinin From Three Strains of Viral Influenza in Standard and High Density Cultures**

Sf900+ cells were infected at an MOI of 0.5 with AcNPV baculovirus expression vectors for A/Texas/36/91, A/Johannesburg/33/94, or A/Nanchang/933/95 viral influenza hemagglutinin at the standard density of  $1.5 \times 10^6$  cells/mL or at  $16.0 \times 10^6$  cell/mL in a high-density dialysis 3-liter bioreactor as described above in Example 1 and Figure 1. At 3 days post infection the cells were collected and the proteins analyzed on SDS-polyacrylamide gels. Yields of total recombinant hemagglutinin proteins were determined using a scanning laser densitometry analysis (LKB Instruments) of the stained gels in comparison to known quantities of highly purified A/Texas/36/91, A/Johannesburg/33/94, or A/Nanchang/933/95 recombinant hemagglutinins. The yields of total recombinant hemagglutinin from all three strains increased 9.3, 10.1, and 11.1 fold in the high density cultures (Figure 7) with yields of 840 mg/L, 710 mg/L, and 780mg/L respectively. Although less than the levels observed at high cell density for polyhedrin, these yields of recombinant glycoprotein per liter are among the highest ever reported for any expression system. The yields of rHA per gram of wet cells (biomass) was as high or higher in the high density cultures compared to the relative yields in standard cultures.

**EXAMPLE 4 - Production of Recombinant Baculovirus in High Density Cultures**

The inventive high density bioreactor system and process can also be used to produce viruses, for instance, recombinant baculoviruses in Sf900+ cells. Table 1 are two examples of the production of infectious recombinant baculoviruses in Sf900+ cells infected at a density of about  $15 \times 10^6$  cells/mL using the inventive bioreactor system and process as discussed in Example 1 and Figure 1.

**TABLE 1 Production of Recombinant Baculoviruses**

Cell line	Recombinant Baculovirus	MOI	Cell	Titer
			Density	PFU/mL
Sf900+	C6274	0.5	$15.4 \times 10^6$	$2.4 \times 10^8$
Sf900+	B6989	0.5	$15.0 \times 10^6$	$8.2 \times 10^8$

**EXAMPLE 5 - Lack of Cell Aggregation with Sf900+ Cells in High Density Cultures**

The degree of aggregation of Sf900+ cells was measured at a low ( $1.38 \times 10^6$  cells/ml) and in two high-density cultures grown as described in Example 1 ( $74.6 \times 10^6$  and  $93.4 \times 10^6$  cells/ml). Sf900+ cells were counted using standard procedures in a hemocytometer. The number of aggregates with 5 or more cells in a clump and the number of viable and dead cells were recorded. The cell viability was >98% in both the low and high-density cultures. Less than 1.5% of the cells were aggregated in the low and both of the high density cultures, demonstrating the surprising result that Sf900+ cells grow in serum-free medium in the high-density dialysis bioreactors were essentially as a single-cell suspension of cells. The fact that Sf900+ cells do not aggregate avoids the problem associated with adding reagents or chemicals to the culture to prevent aggregation. Any aggregation would severely reduce the productivity of the cells due to diffusional barriers for nutrients or by-products or due to reducing their accessibility to virus infection.

**EXAMPLE 6 - Long Term Sustainability of Exponential Growth**

One liter of *S. frugiperda* Sf900+ insect cells were seeded at  $3.0 \times 10^6$  cells/mL as described in Figure 8 in a system as described in Example 1 and Figure 1 ("month day" in Figure 8 means for instance the numerical day of a month, such that if the month were January, the "month days" in Figure 8 illustrate readings on the 8<sup>th</sup>, 11<sup>th</sup>, 12<sup>th</sup>, 13<sup>th</sup>, 14<sup>th</sup>, 15<sup>th</sup> and 16<sup>th</sup> of January - the month - with time zero occurring on the 8<sup>th</sup>). Oxygen was supplied initially by direct sparging at 6 L/hr and maintained at 60% saturation relative to air with an oxygen probe in the bioreactor connected to a solenoid regulating the flow of oxygen. The temperature of the cells was maintained at 28°C and the cells were kept in suspension with an impeller rotating at 200 rpm. The cells doubled approximately every 24 hours and were  $19.4 \times 10^6$  cells/mL by day 3. On day 3 the cells from the bioreactor were circulated at 100 ml/min through silicon tubing connected to the lumen of a hollow fiber filter (A/G Technology, Corp; model CFP-6-D-8A,

0.65 micron pore size, 0.41 m<sup>2</sup> membrane surface area) then back to the bioreactor with a peristaltic pump (Masterflex L/S Model 7520-00 with dual Easy-Load II Model 77200-62 pump heads. An external vessel with 9L of replenishment medium was connected to the second pump head on the same peristaltic pump and media was circulated through silicon tubing at 100 ml/min from the vessel, through the external compartment of the hollow fiber filter, and back to the media vessel. At 6 days the cells were at  $35.7 \times 10^6$  cell/mL and the external vessel with 9L of replenishment medium was replaced with a new vessel containing 9L of fresh replenishment medium. At 6.7 days the cell density was  $52.2 \times 10^6$  cells/mL and sparging oxygen directly into the bioreactor was no longer sufficient to keep the dissolved oxygen in the cells at 60%. Direct sparging was stopped and the oxygen line was connected directly to the circulating cell line with a Y-connector at a position subsequent to the pump but ahead of the hollow fiber filter. The oxygen flow rate was reduced from 6 L/hr to 1.2 L/hr. This so-called in-line sparging maintained the dissolved oxygen level at 60%.

Sf900+ cells doubled approximately every 24 hours with 97% or higher viability and grew to  $91 \times 10^6$  cells/ml (Figure 8), near to the record density reported in Example 1. Cell growth was examined numerically and closely fits an exponential growth curve of the form  $y = ce^{bx}$  where y is the cell density, x is the time, c and b are constants, and e is the natural log. A plot of the data and the calculated exponential curve is show in Figure 5 that closely fits (R-squared statistic equals 0.9318) the growth of the Sf900+ cells in the dialysis bioreactor.

#### **EXAMPLE 7 -      Inline oxygenation**

To determine the effect of in line sparging on expression in HD cultures two cultures were set up containing  $22 \times 10^9$  cells which were infected with AcNPV baculovirus expression vector for A/Beijing/262/95 viral influenza neuraminidase (NA). The culture with standard sparging had oxygen supplied at 2L /min through a single 5mm tube immersed in the culture. The test culture was sparged at 0.2L/min through the lumen side of the hollow fiber dialysis device. The cultures were harvested 72 hours post-infection (hpi) and samples were subjected to SDS-PAGE and western blot analysis. Other samples were assayed for NA activity.

##### A. Culture with Standard Sparging

A 2L 72 hour old culture of SF+ cells in PSFM medium in a 3L Applikon fermentor was equipped with the high density apparatus including a 0.16m<sup>2</sup>, 0.65μm pore hollow fiber filter and a 5L bottle of PSFM. Cells and medium were circulated through the filter at

100mls/min using a double headed peristaltic pump. Temperature was maintained at 28°C using a heat blanket, temperature probe and a Valley instruments controller. Dissolved oxygen was maintained at 60% of air using an Ingold DO probe and a Valley instruments controller. Oxygen was supplied through a single 5mm tube positioned directly under the impeller. Agitation was done using a marine impeller spun at 200 rpm.

The cells in this culture grew to a density of  $10.8 \times 10^6$  cells/ml ( $21.6 \times 10^9$  total) in 24 hours. They were infected at an M.O.I. of 0.5 with NA innoculum. The culture was harvested 72 hpi at which time it contained  $18.0 \times 10^9$  total cells of which 41% were viable. The culture was harvested by centrifugation at 3000xG for 1 hour. The filter was flushed with 1L of the diafiltrate and the cells pelleted at 3000xG for 1 hour. Pellet biomass data is shown below

	<i>Volume</i>	<i>Pellet</i>
HD	1650 ml	77.2g
Diaf wash	1000ml	3.6g
Total biomass		80.8g

#### B. Standard Density Control Culture

A 500ml culture of SF+ cells at  $1.5 \times 10^6$  cells/ml was set up in a 3L spinner flask and infected at an M.O.I. of 0.5 with NA innoculum. The culture was collected 72 hpi and the cells pelleted at 3000XG for 1 hour. The cell pellet from this culture weighed 4.0g. Samples from this culture were subjected to SDS-PAGE and western blot analysis. They were also tested for NA activity.

#### C. Culture with In Line Sparging

A 2L culture of SF+ cells in PSFM medium in an Applikon 3L fermenter was configured for a HD culture similar to that for the standard sparging culture. The difference was that this culture was equipped for in line sparging. Instead of oxygen being delivered through a single tube a Y connector was inserted between the cell circulation pump head and the hollow fiber filter. Oxygen was added through the filter at 0.2L/min while being monitored through the DO probe in the fermentor.

When the cells in this culture grew to a total of  $21.9 \times 10^9$  cells (1.9L at  $11.5 \times 10^6$  cells/ml) they were infected with NA innoculum at an M.O.I. of 0.5. A 100 ml culture of SF+ cells at the

standard density of  $1.5 \times 10^5$  cells/ml in a 250 ml spinner was infected with NA inoculum at an M.O.I. of 0.5 to serve as a control.

Samples for gel analysis were taken at 24, 48 and 72 hpi. The culture was harvested 72 hpi. The cells were pelleted as above and weighed.

	Volume	Pellet
In line O <sub>2</sub> HD	1900 mls	110.4g
Diaf wash	850 mls	10.2g
Total biomass		120.6g

#### Biomass summary table

Note: All biomass values are adjusted for 2L of culture for comparison purposes.

Culture type	Total Biomass (g)
Standard Culture	20
High Density Culture with standard sparging	80.8
High Density Culture with in-line sparging	120.6

As the biomass data demonstrates, in this example, in-line sparging increased total cell biomass by approximately 50% even as oxygen was delivered at a rate tenfold lower than standard sparging.

**EXAMPLE 8 - High Density Growth of  
CHO Mammalian Cells  
and Expression of Human M-CSF**

To measure the ability of the present invention to support the growth to high density of cells other than insect cells, such as mammalian cells, and to demonstrate that this can result in improved expression of recombinant protein, the following experiment was performed. A Chinese hamster cell line that had been engineered to express human macrophage colony stimulating factor (M-CSF CHO cells) were obtained from HyClone Laboratories Inc (Logan, Utah) (*cf.* U.S. Patents Nos. 5,650,297, 5,567,611, and 4,847,201, *inter alia*, regarding DNA encoding M-CSF and CHO cells expressing M-CSF). The cells were maintained using standard conditions in shaker flasks on a cell culture shaker (135 rpm) in a 37° C incubator kept at 5%

CO<sub>2</sub> and maintained in HyQ PF CHO medium (HyClone Laboratories). M-CSF CHO cells were seeded on day 0 at a density of  $0.9 \times 10^6$  cells/ml in a volume of 1.5 liters in a Bioflo 3000 bioreactor (New Brunswick Scientific, Edison NJ) and maintained at 37° C with an agitation speed of 50 rpm, dissolved oxygen set at 50% relative to air, and pH set at 7.3. By day 1 the cells had grown to  $1.3 \times 10^6$  cells/ml and the high-density apparatus of the invention (Fig. 1, Example 1) was assembled and the cells introduced therein and an experiment according to the invention performed. The culture medium from the bioreactor was circulated at 50 ml/min through the lumen of an 0.45 micron, 0.45 sq ft A&G hollow fiber filter. HyQ PF CHO medium was put into 5L bottle (dialysis medium) and maintained at 37° C and circulated at 50 ml/min through the hollow fiber filter. On day 3 the 5L bottle of media was replaced with a 5L bottle of fresh HyQ PF CHO medium and it was maintained at 37° C and circulated at 50 ml/min through the hollow fiber filter. On days 2, 3, 4 and 5 the cells in the high-density bioreactor doubled about every 24 hours and by day 5 were at a density of  $13.6 \times 10^6$  cells/ml (Figure 11). In a control flask, 100 ml of M-CSF CHO cells were set up at  $0.9 \times 10^6$  cells/ml and maintained under standard conditions for 4 days. The cells doubled approximately every 24 hours and reached a maximum of  $4.6 \times 10^6$  cells/ml on day 3 (Figure 11).

Therefore, the high-density bioreactor and methods of the invention produced at least about 4 times the number of cells per unit volume as under standard culturing conditions.

M-CSF CHO cells have been engineered to express the human gene produce for M-CSF. Samples of the culture media from day 0 and day 4 in the high-density bioreactor and in the control flask were obtained from the experiment described above and the levels of human M-CSF (Hu M-CSF) were measured using a commercial assay kit. The follow Table summarizes the levels of Hu M-CSF produced by the CHO cells and secreted into the culture media in the high density 2 L bioreactor at days 0 and 4, in the 5 L of dialysis media at days 3 and in the 5 L of the second bottle of dialysis media at day 4, and in the 100 ml control flask at days 0 and 4. The total production of Hu M-CSF produced in the control flask was at a level of 3.0 mg/L. Whereas in the high-density bioreactor, a total of over 11.68 mg/L of Hu M-CSF were produced which represents an increase of at least over 3.9 times the yield of Hu M-CSF as produced in M-CSF CHO cells maintained under standard conditions. In summary, a mammalian CHO cell line grew to at least about 4 times the cell density and produced at least about 3.9 times the levels of secreted Hu M-CSF.

Thus, the invention is applicable to various cell lines and can result in increased cell density and/or increased protein expression.

Cell Culture	Source	Volume (ml)	Days	Cells/ml x 10E6	Hu M-CSF mg/L culture
High density bioreactor (2L)	bioreactor	2000	0	0.9	0.51
	dialysis media	5000	3	7.8	5.12*
	bioreactor	2000	4	13.6	>4.0*
	dialysis media	5000	4	13.6	2.56
TOTAL Hu M-CSF					>11.68
Control flask (100 ml)	flask		0	1.3	0.38
	flask		4	4.1	3.0
TOTAL Hu M-CSF					3.0

(\*Expression was so high that it was greater than 4 and off the scale of the assay; it is expected that the increase is at least 4-fold and can be 10-fold or more.)

\* \* \*

Having thus described in detail preferred embodiments of the present invention, it is to be understood that the invention defined by the appended claims is not to be limited to particular details set forth in the above description as many apparent variations thereof are possible without departing from the spirit or scope of the present invention.

**WHAT IS CLAIMED IS:**

1. An apparatus for growing cells comprising at least one bioreactor for cell culture, at least one vessel for culture medium, means for circulating culture medium and/or cell culture, whereby the bioreactor and vessel are in fluid communication, and at least one means for delivery of oxygen.
2. The apparatus of claim 1 wherein the means for delivery of oxygen comprises a hollow fiber filter oxygenator.
3. The apparatus of claim 1 wherein the means for delivery of oxygen comprises means for in-line sparging.
4. The apparatus of claim 1 wherein the means for delivery of oxygen comprises means for delivery of at least one oxygen-containing compound that releases dissolved oxygen into cell culture.
5. The apparatus of claim 1 wherein the means for delivery of oxygen is positioned upstream of input of circulating cell culture returning to the bioreactor.
6. The apparatus of claim 1 wherein the bioreactor and/or the vessel are stirred.
7. The apparatus of claim 1 wherein the means for delivery of oxygen provides an average dissolved oxygen concentration of about 60%.
8. An apparatus for growing cells comprising a bioreactor for cell culture, a vessel for culture medium, means for circulating cell culture, means for circulating culture medium, dialysis means in fluid communication with the bioreactor and the vessel, whereby there is a first, cell culture, loop between the bioreactor and the dialysis means, and a second, media replenishment, loop between the vessel and the bioreactor,

and in operation dialysis between the culture medium and the cell culture.

9. The apparatus of claim 8 wherein the dialysis means comprises at least one semi-permeable membrane.

10. The apparatus of claim 9 wherein the semi-permeable membrane comprises at least one hollow fiber filter.

11. The apparatus of claim 8 further comprising:

at least one means for delivery of oxygen into the cell culture loop.

12. The apparatus of claim 11 wherein the means for delivery of oxygen comprises a hollow fiber filter oxygenator.

13. The apparatus of claim 11 wherein the means for delivery of oxygen comprises means for in-line sparging.

14. The apparatus of claim 11 wherein the means for delivery of oxygen comprises means for delivery of at least one oxygen-containing compound that releases dissolved oxygen into cell culture.

15. The apparatus of claim 11 wherein the means for delivery of oxygen is positioned upstream of input of circulating cell culture returning to the bioreactor.

16. The apparatus of claim 8 or 11 wherein the bioreactor and/or the vessel are stirred.

17. The apparatus of claim 11 wherein the means for delivery of oxygen provides an average dissolved oxygen concentration of about 60%.

18. The apparatus of claim 11 wherein the means for delivery of oxygen provides an average dissolved oxygen concentration of greater than about 40%.

19. The apparatus of claim 18 wherein the means for deliver of oxygen provides an average dissolved oxygen concentration between about 30% and 90% or between about 40% and about 80% or between about 50% and 70%.

20. The apparatus of claim 11 further comprising:

means for measuring physical and/or chemical parameter(s) of the cell culture and/or the culture medium.

21. The apparatus of claim 20 wherein the means for measuring comprises means for measuring dissolved oxygen concentration.

22. The apparatus of claim 20 wherein the means for measuring comprises means for measuring pH.
23. The apparatus of claim 20 wherein the means for measuring comprises means for measuring temperature.
24. The apparatus of claim 20 wherein the means for measuring comprises means for measuring pH and means for measuring dissolved oxygen.
25. The apparatus of claim 20 wherein the means for measuring comprises means for measuring cell density or amount of cells.
26. The apparatus of claim 20 further comprising means for adjusting physical and/or chemical parameter(s) of the cell culture and/or the culture medium in response to data from the measuring means.
27. The apparatus of claim 26 wherein the adjusting means comprises means to adjust temperature.
28. The apparatus of claim 26 wherein the adjusting means comprises means for adjusting pH.
29. The apparatus of claim 26 wherein the adjusting means comprises means for adjusting dissolved oxygen concentration.
30. The apparatus of claim 26 wherein the adjusting means comprises means for adjusting dissolved carbon dioxide concentration.
31. The apparatus of claim 24 further comprising means for adjusting physical and/or chemical parameter(s) of the cell culture and/or the culture medium in response to data from the measuring means.
32. The apparatus of claim 31 wherein the adjusting means comprises means to adjust temperature.
33. The apparatus of claim 32 wherein the adjusting means comprises means for adjusting pH.
34. The apparatus of claim 32 wherein the adjusting means comprises means for adjusting dissolved oxygen concentration.
35. The apparatus of claim 32 wherein the adjusting means comprises means for adjusting dissolved carbon dioxide concentration.

36. The apparatus of claim 32 wherein the adjusting means comprises means for adding a vector in response to a cell density or cell amount measurement.

37. The apparatus of claim 32 wherein the adjusting means comprises means for adjusting dissolved oxygen and means for adjusting dissolved carbon dioxide, whereby in response to pH measurement(s), dissolved carbon dioxide levels are adjusted.

38. The apparatus of claim 37 wherein in response to dissolved oxygen measurement(s), dissolved oxygen levels are adjusted.

39. The apparatus of claim 38 wherein pH is set to a desired level and carbon dioxide is adjusted when pH varies from the desired level, whereby the dissolved oxygen measurement varies periodically as a function of time.

40. The apparatus of claim 39 wherein the dissolved oxygen measurement varies from 30% to 90% or from 40% to 80% or from 50% to 70%; or, the dissolved oxygen measurement averages about 60%.

41. The apparatus of claim 40 wherein the dissolved oxygen measurement varies from high value to low value over about 10 to about 30 minutes or over about 20 minutes.

42. The apparatus of claim 39 wherein a plot of the dissolved oxygen measurement as a function of time comprises a sin wave.

43. A method for growing cells comprising culturing cells in at least one bioreactor whereby there is a cell culture, supplying medium in at least one vessel whereby there is culture medium, circulating culture medium and/or cell culture, whereby the bioreactor and vessel are in fluid communication and the cell culture and/or culture medium are in circulation, and delivering oxygen to the cell culture and/or culture medium.

44. The method of claim 43 wherein the delivering of oxygen is by means for delivery of oxygen comprising a hollow fiber filter oxygenator.

45. The method of claim 43 wherein the delivering of oxygen is by means for delivery of oxygen comprising means for in-line sparging.

46. The method of claim 43 wherein the delivering of oxygen is by delivery of at least one oxygen-containing compound that releases dissolved oxygen into cell culture.

47. The method of claim 43 wherein the delivering of oxygen is upstream of input of circulating cell culture returning to the bioreactor.

48. The method of claim 43 further comprising stirring the cell culture or the culture medium or both the cell culture and the culture medium.

49. The method of claim 43 wherein the delivering of oxygen provides an average dissolved oxygen concentration of about 60%.

50. A method for growing cells comprising  
culturing cells in a bioreactor whereby there is a cell culture,  
supplying culture medium in a vessel where by there is culture medium,  
circulating the cell culture through a dialysis means,  
circulating culture medium through the dialysis means,  
wherein the dialysis means in fluid communication with the bioreactor and the vessel,

whereby

there is

a first, cell culture, loop between the bioreactor and the dialysis means,

and

a second, media replenishment, loop between the vessel and the

bioreactor,

and performing dialysis between the culture medium and the cell culture.

51. The method of claim 50 wherein the dialysis means comprises at least one semi-permeable membrane.

52. The method of claim 51 wherein the semi-permeable membrane comprises at least one hollow fiber filter.

53. The method of claim 50 further comprising:

delivering oxygen into the cell culture loop.

54. The method of claim 53 wherein the delivering of oxygen is by means for delivery of oxygen comprising a hollow fiber filter oxygenator.

55. The method of claim 53 wherein the delivering of oxygen is by means for delivery of oxygen comprising means for in-line sparging.

56. The method of claim 53 wherein the delivering of oxygen comprises delivering at least one oxygen-containing compound that releases dissolved oxygen into cell culture.

57. The method of claim 53 wherein the delivering of oxygen is by means for delivery of oxygen is positioned upstream of input of circulating cell culture returning to the bioreactor.

58. The method of claim 50 or 53 further comprising stirring the cell culture or the culture medium or both the cell culture and the culture medium.

59. The method of claim 53 wherein the delivering of oxygen provides an average dissolved oxygen concentration of about 60%.

60. The method of claim 53 wherein the delivering of oxygen provides an average dissolved oxygen concentration of greater than about 40%.

61. The apparatus of claim 60 wherein the delivering of oxygen provides an average dissolved oxygen concentration between about 30% and 90% or between about 40% and about 80% or between about 50% and 70%.

62. The method of claim 53 further comprising:  
measuring physical and/or chemical parameter(s) of the cell culture and/or the culture medium.

63. The method of claim 62 wherein the measuring comprises measuring dissolved oxygen concentration.

64. The method of claim 62 wherein the measuring comprises measuring pH.

65. The method of claim 62 wherein the measuring comprises measuring temperature.

66. The method of claim 62 wherein the measuring comprises measuring pH and measuring dissolved oxygen concentration.

67. The method of claim 62 wherein the measuring comprises measuring cell density or amount of cells.

68. The method of claim 62 further comprising adjusting physical and/or chemical parameter(s) of the cell culture and/or the culture medium in response to data from the measuring.

69. The method of claim 68 wherein the adjusting comprises adjusting temperature to maintain a desired temperature.

70. The method of claim 68 wherein the adjusting comprises adjusting pH to maintain a desired pH.

71. The method of claim 68 wherein the adjusting comprises adjusting dissolved oxygen concentration to maintain a desired dissolved oxygen concentration.

72. The method of claim 68 wherein the adjusting comprises adjusting dissolved carbon dioxide concentration.

73. The method of claim 68 wherein the adjusting comprises adding a vector in response to a cell density or cell amount measurement

74. The method of claim 66 further comprising adjusting physical and/or chemical parameter(s) of the cell culture and/or the culture medium in response to data from the measuring.

75. The method of claim 74 wherein the adjusting comprises adjusting temperature to maintain a desired temperature.

76. The method of claim 75 wherein the adjusting comprises adjusting pH to maintain a desired pH.

77. The method of claim 75 wherein the adjusting comprises adjusting dissolved oxygen concentration to maintain a desired dissolved oxygen concentration.

78. The method of claim 75 wherein the adjusting comprises adjusting dissolved carbon dioxide concentration.

79. The method of claim 75 wherein the adjusting comprises adjusting dissolved oxygen concentration and adjusting dissolved carbon dioxide concentration, whereby in response to pH measurement(s), dissolved carbon dioxide levels are adjusted.

80. The method of claim 79 wherein the adjusting includes adjusting dissolved oxygen levels in response to dissolved oxygen measurement(s).

81. The method of claim 80 wherein the adjusting comprises adjusting pH to a desired level in response to pH measurement(s) by adjusting the dissolved carbon dioxide concentration such that dissolved carbon dioxide concentration is adjusted when pH varies from the desired level, and the dissolved oxygen measurement varies periodically as a function of time.

82. The method of claim 81 wherein the adjusting includes adjusting the dissolved oxygen concentration so that the dissolved oxygen measurement varies from 30% to 90% or from 40% to 80% or from 50% to 70%; or, so that the dissolved oxygen measurement averages about 60%.

83. The method of claim 82 wherein the adjusting includes adjusting the dissolved oxygen concentration so that the dissolved oxygen measurement varies from high value to low value over about 10 to about 30 minutes or over about 20 minutes.

84. The method of claim 81 wherein a plot of the dissolved oxygen measurement as a function of time comprises a sin wave.

85. The method of any one of claims 43, 50, 62, 68, 79 or 82-84, further comprising collecting the cells.

86. The method of any one of claims 43, 50, 62, 68, 79 or 82-84 wherein the cells contain a vector for replication of the vector and/or expression of exogenous nucleic acid molecules.

87. The method of claim 86 wherein the vector comprises a virus or a recombinant virus.

88. The method of claim 87 wherein the vector comprises a recombinant baculovirus.

89. The method of claim 87 further comprising collecting expressed product, and/or baculovirus and/or the cells.

90. Expressed product from the method of 89.

91. A method, for producing an expression product from a recombinant vector infected or transfected or inserted into a cell, or for producing a vector infected or transfected or inserted into a cell, comprising performing the method of any one of claims 43, 50, 62, 68, 79 or 82-84, wherein cells of the cell culture are infected or tranfected with or have inserted into them the recombinant vector, or the vector, either prior to or during the method.

92. The method of claim 91 wherein the recombinant vector is a recombinant baculovirus and the cells are insect cells.

93. The method of claim 92 wherein the cells are infected during the method.

94. The method of claim 91 further comprising collecting the cells or the expression product or the recombinant vector or the vector.

95. The method of claim 91 wherein the cells are CHO cells.

ABSTRACT OF THE DISCLOSURE

Disclosed and claimed is apparatus and methods for the growth of cells to high density, products therefrom and uses thereof. Also disclosed and claimed is the use of this method for the growth to high-density insect cells, such as the *Spodoptera frugiperda* Sf900+ cell line (ATCC: CRL 12579). Further disclosed is the infection of Sf900+ cells at high cell density with wild type and recombinant baculoviruses to produce baculovirus and DNA or gene or expression products.

RECORDED IN U.S. PATENT AND TRADEMARK OFFICE

**Separator Sheer**

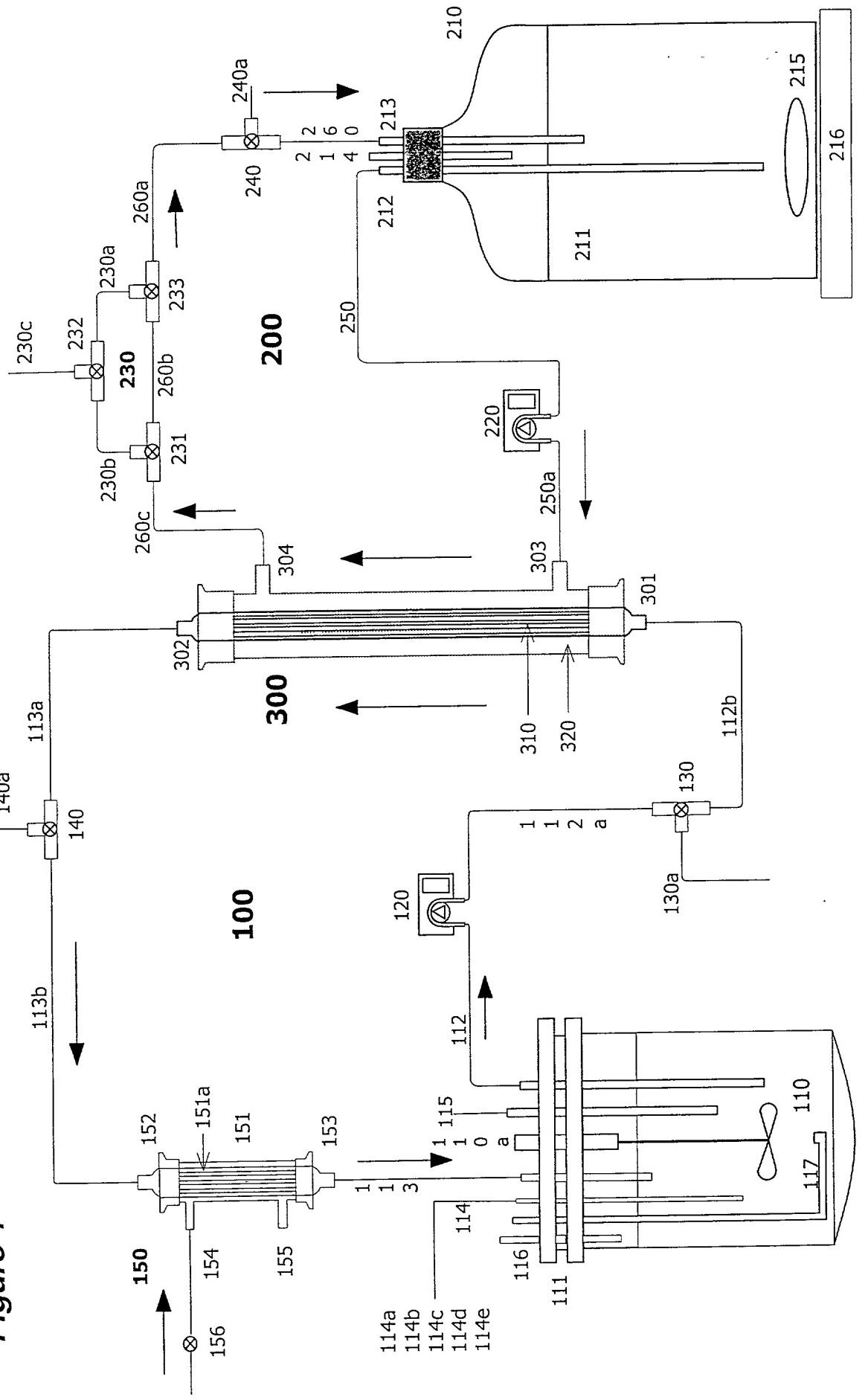


## **Miscellaneous Material Includes:**

1. Drawings
2. Oath or Declaration
3. Foreign language specification
4. Sequence listing
5. Computer listing
6. Appendices

Apparatus and Method for Producing and Using High-Density Cells and Product Therefrom

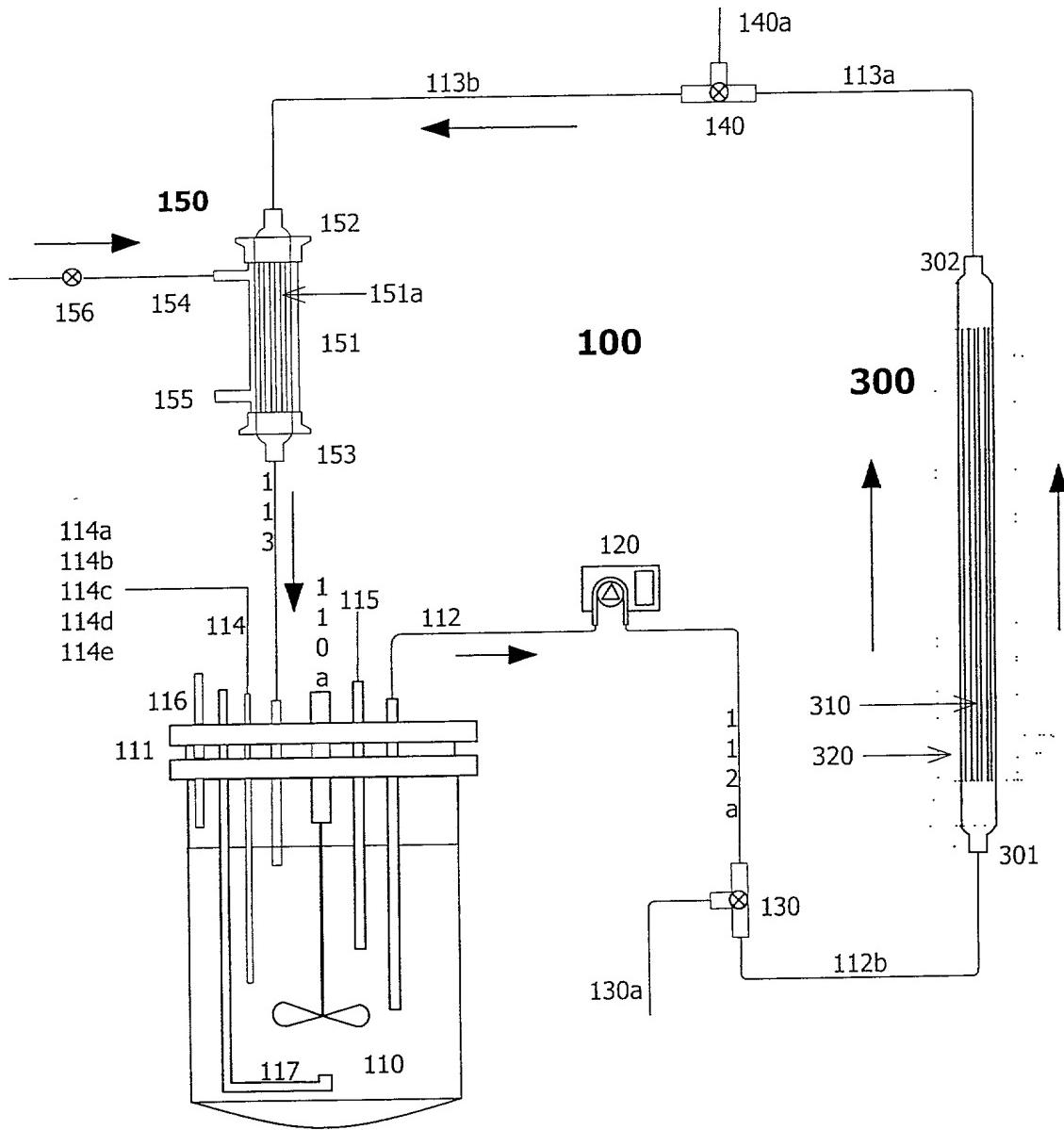
**Figure 1**



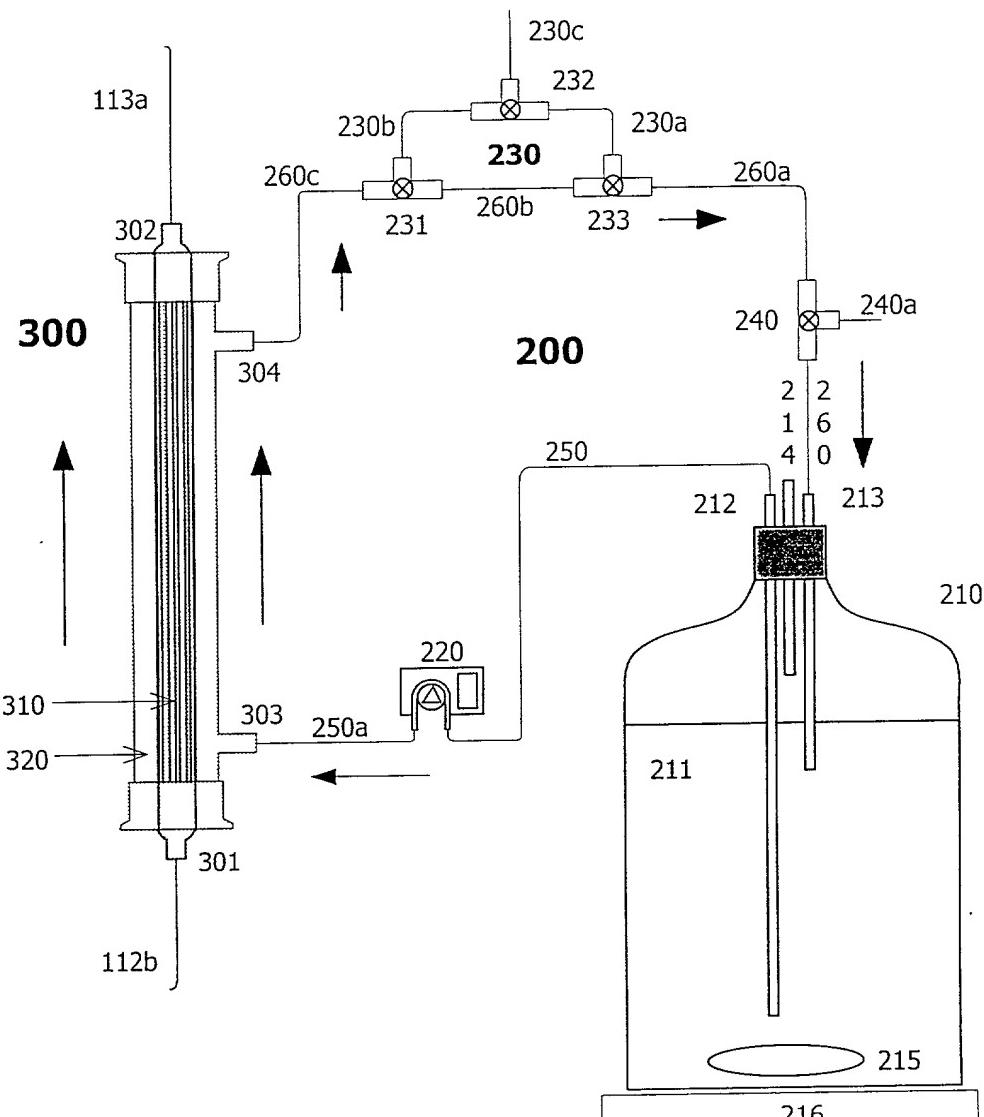
Bioreactor

Media Reservoir

**Figure 2**



**Figure 3**



Media Reservoir

**Figure 4**

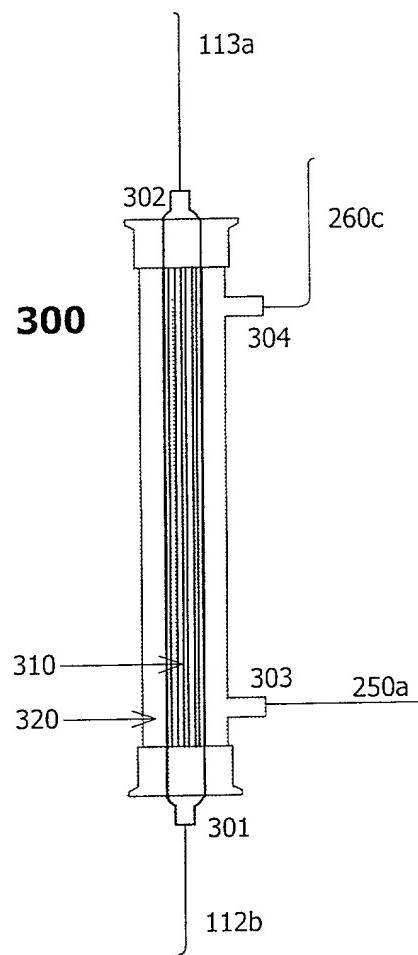


Figure 5. Growth of Insect Cells in a High-Density Dialysis Bioreactor with In-Line Oxygen Sparging.

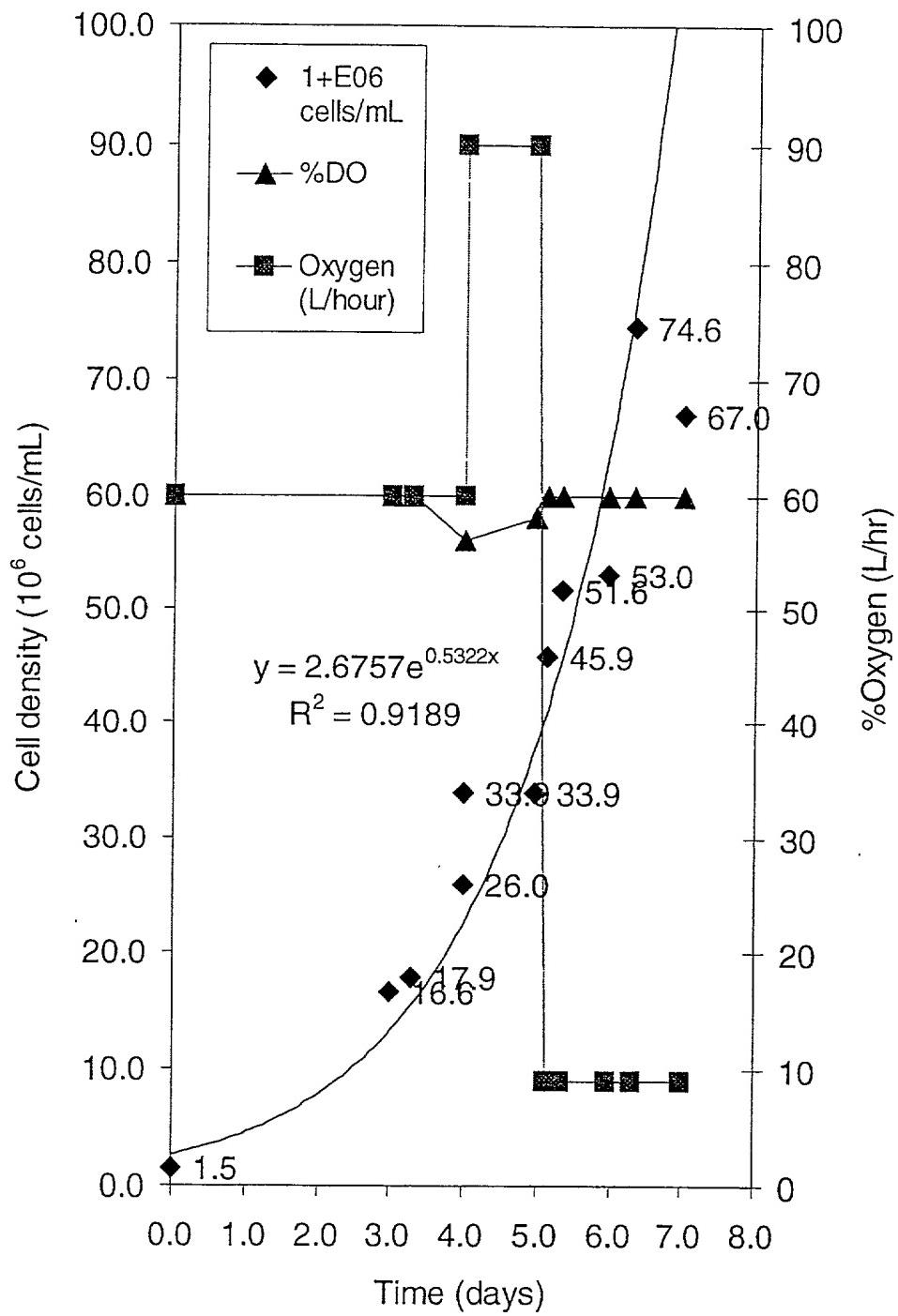


Figure 1. Yields of AcNPV Polyhedrin Protein in Standard and High-Density Cultures.

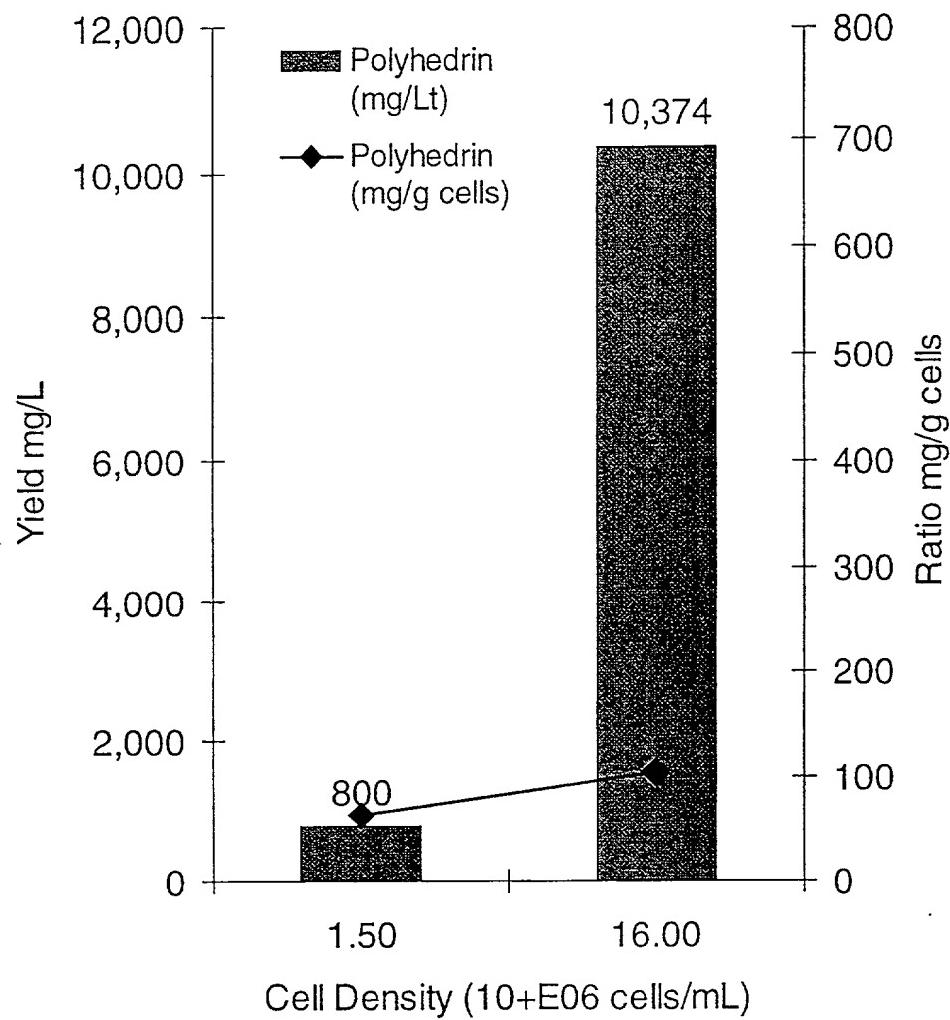
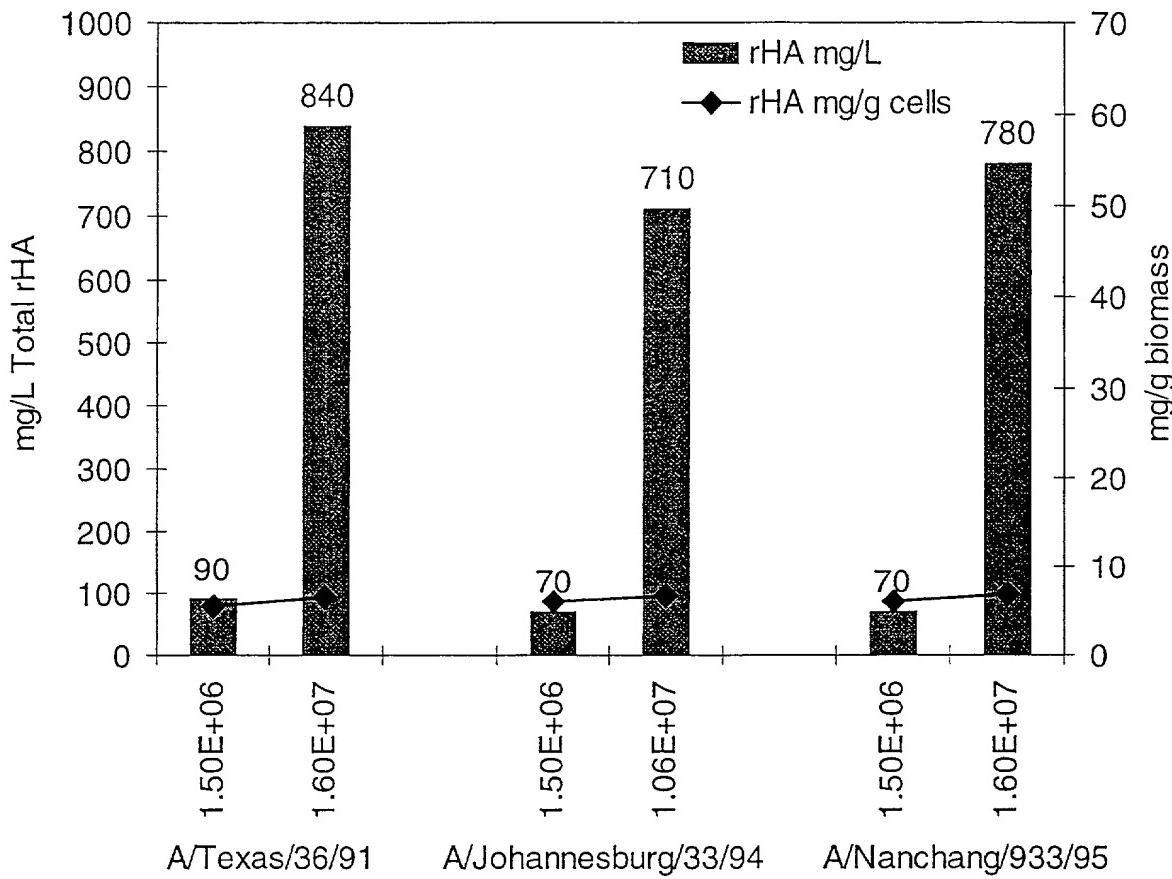
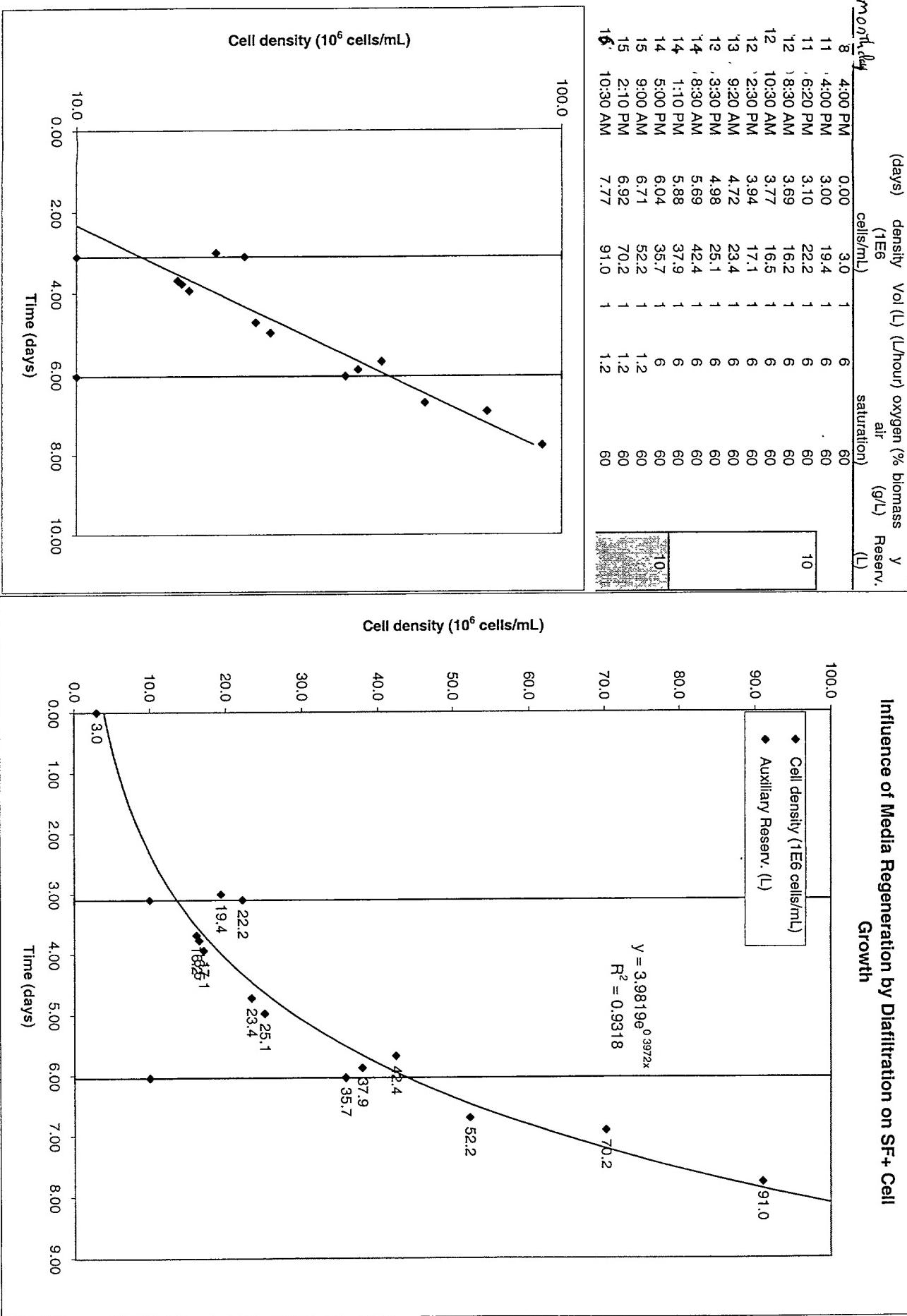


Figure 1. Yields of Recombinant Hemagglutinin from three Strains of Viral Influenza in Standard and High-Density Cultures.



Time month/day	Time (days)	Cell density (1E6 cells/mL)	Culture Vol (L)	Oxygen saturation	Dissolved oxygen (% air saturation)	Cell mass (g/L)	Auxiliar y Reserv. (L)
8 8	4:00 PM	0.00	3.0	1	6	60	10
11 11	4:00 PM	3.00	19.4	1	6	60	
11 11	6:20 PM	3.10	22.2	1	6	60	
12 12	8:30 AM	3.69	16.2	1	6	60	
12 12	10:30 AM	3.77	16.5	1	6	60	
12 12	2:30 PM	3.94	17.1	1	6	60	
13 13	9:20 AM	4.72	23.4	1	6	60	
12 12	3:30 PM	4.98	25.1	1	6	60	
14 14	8:30 AM	5.69	42.4	1	6	60	
14 14	1:10 PM	5.88	37.9	1	6	60	
14 14	5:00 PM	6.04	35.7	1	6	60	
15 15	9:00 AM	6.71	52.2	1	1.2	60	
15 15	2:10 PM	6.92	70.2	1	1.2	60	
16 16	10:30 AM	7.77	91.0	1	1.2	60	

### Influence of Media Regeneration by Diafiltration on SF+ Cell Growth



**Figure 9**  
**HD Bioreactor Diagram Legend**

**100 Cell Culturing Loop**

110	Stirred-tank Bioreactor
111	Bioreactor headplate
112	Cell take-up & cell take-up lines (112a – b)
113	Cell return & cell return lines (113a – b)
114	Probe ports: multiple (114a – 114e)
115	Sampling port
116	Vent tube
117	Sparging tube
120	Pump
130	Three-way valve & three-way valve line (130a)
140	Three-way valve & three-way valve line (140a)
150	Oxygenation Loop
151	Oxygenator & oxygenator Lumen (151a)
152	Lumen input
153	Lumen outflow
154	Gas input
155	Gas output
156	Solenoid

**200 Medium Replenishment Loop**

210	Media reservoir
211	Media container
212	Media take-up
213	Media return
214	Vent tube
215	Magnetic stir bar
216	Variable speed magnetic motor
220	Pump
230	"Extraction" loop and "extraction" loop lines (230a – c)
231	Three-way valve: pass-through or bypass in-line analysis
232	Three-way valve: collection or sampling
233	Three-way valve: pass through or return
240	Three-way valve – sampling & three-way valve – sampling line (240a)
250	Media take-up lines (250 & 250a)
260	Media return lines (260 & 260a – c)

**300 Hollow Fiber Dialysis Device**

301	Lumen input
302	Lumen outflow
303	Extra-lumenal input
304	Extra-lumenal outflow
310	Lumen space
320	Extra-lumen space

**Figure 10**

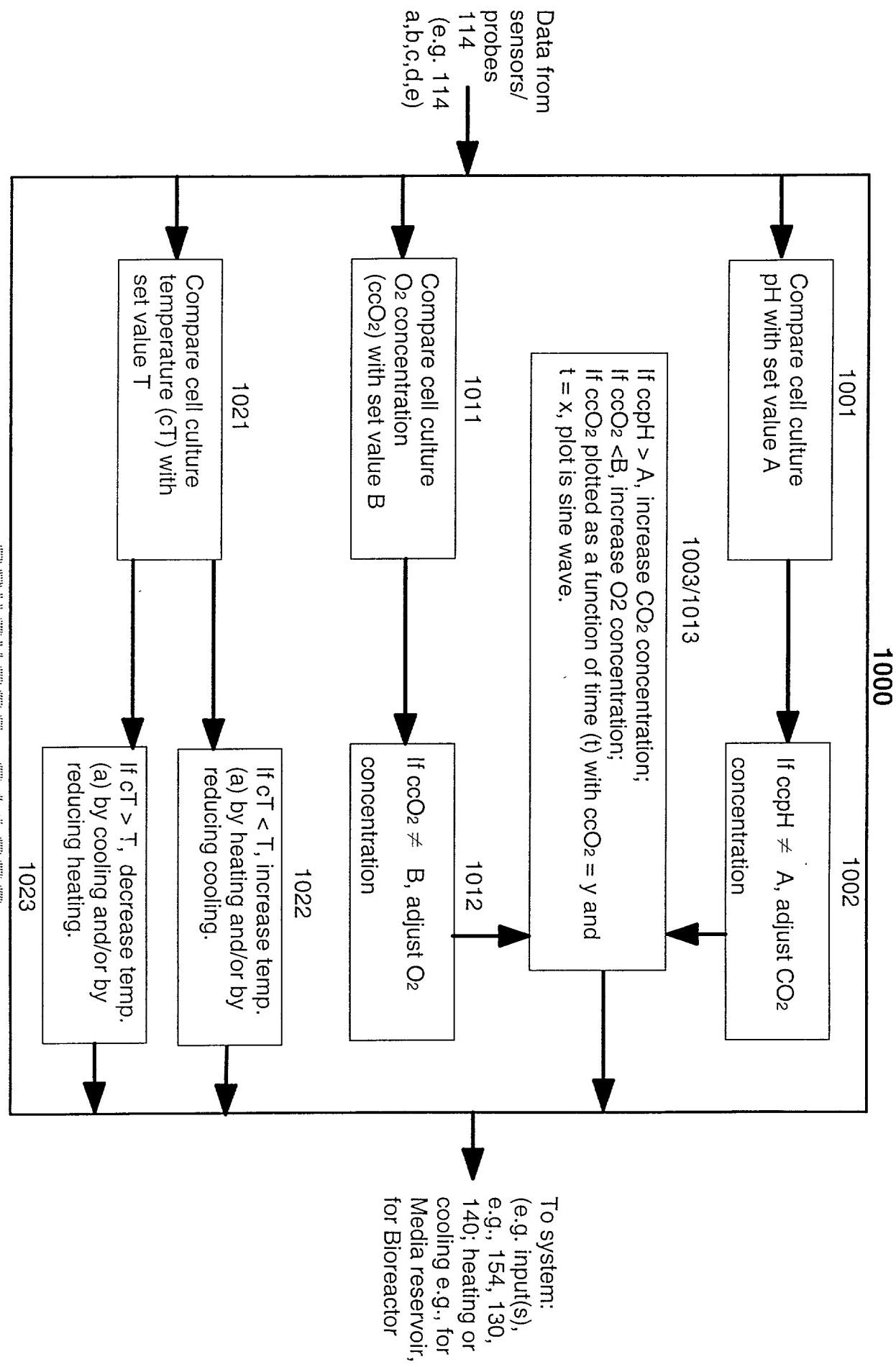


Figure 11

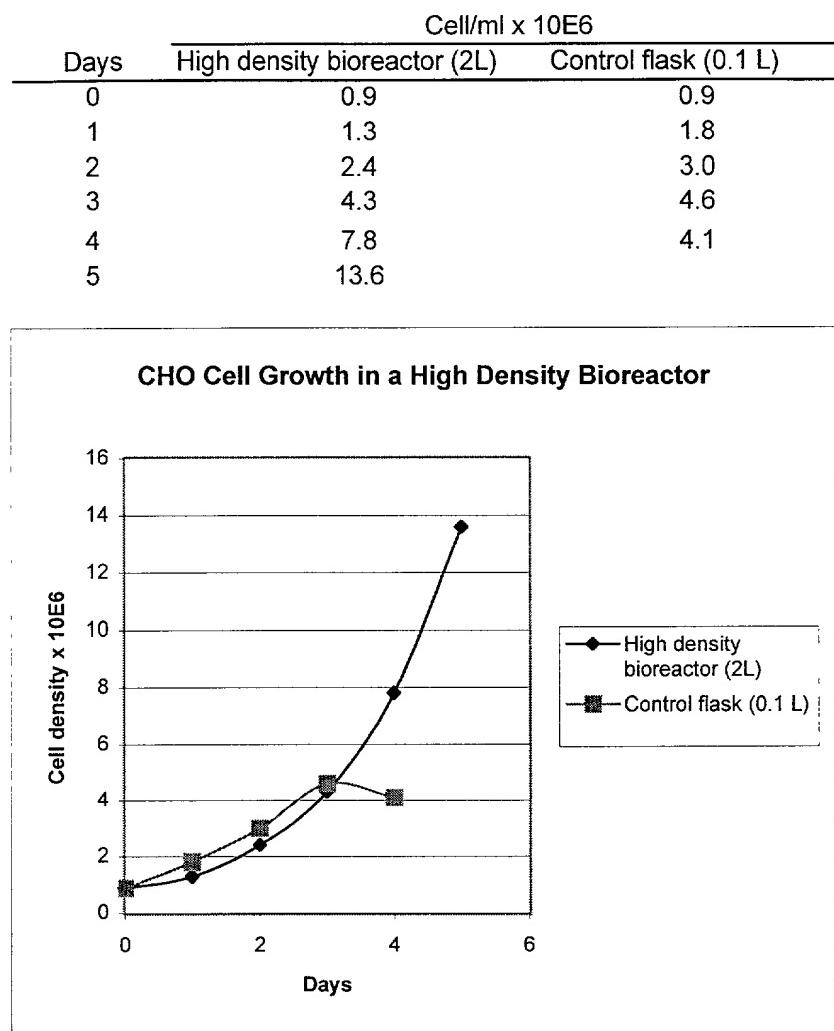


Fig. 11

**DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**  
(Includes reference to PCT International Applications)

FROMMER LAWRENCE & HAUG, LLP  
File No.: 674506-2035.2

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor (if plural, names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention ENTITLED:

***Apparatus and Methods for Producing and Using High-Density Cells and Products Therefrom***

the specification of which:

- X is attached hereto  
— was filed on \_\_\_\_\_ as:  
— United States Application Serial No. \_\_\_\_\_  
— as the National Phase of PCT Application No. \_\_\_\_\_  
— with amendments through DATE EVEN HEREWITH (if applicable, give details).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a) - (d) or § 365 (b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT International application(s) designating at least one country other than the United State of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT International applications designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) on which priority is claimed:

Prior Foreign/PCT Application(s) [list additional applications on separate page]:

<u>Country (or PCT)</u>	<u>Application Number:</u>	<u>Filed (Day/Month/Year)</u>	Priority Claimed: <u>Yes</u>	<u>No</u>
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I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

60/162,354	29/10/99
60/118,816	2/5/99
(Application Number)	(Filing Date)

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s) or § 365 (c) of any PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior United States or PCT International application(s) in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. (or U.S.-designating PCT) Application(s) [list additional applications on separate page]:

U.S. Serial No.: Filed (Day/Month/Year) PCT Application No. Status (patented, pending, abandoned)

I hereby appoint, Thomas J. Kowalski, Registration No. 32,147, and FROMMER LAWRENCE & HAUG, LLP or their duly appointed associates, my attorneys or agents, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to file continuation and divisional applications thereof, to receive the Patent, and to transact all business in the Patent and Trademark Office and in the Courts in connection therewith, and to insert the Serial Number of the application in the space provided above. And, I hereby specify that all communications about the application are to be directed to the following correspondence address

Thomas J. Kowalski, Esq.  
c/o FROMMER LAWRENCE & HAUG LLP  
745 Fifth Avenue  
New York, NY 10151  
FAX (212) 588-0500

Direct all telephone calls to: (212) 588-0800  
to the attention of:  
Thomas J. Kowalski, Esq.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

INVENTOR(S):

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full name of first inventor:

GALE E. SMITH

Residence:

**9 TURNBERRY ROAD, WALLINGFORD, CT 06492**

Citizenship:

United States

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full name of second inventor:

JOHN KNELL

Residence:

**26 SORRIES COURT, MERIDEN, CT 06451**

Citizenship:

United States

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full name of third inventor:

ANDREI I. VOZNESENSKY

Residence:

**15 SPRUCE LANE, WEST HARTFORD, CT 06107**

Citizenship:

Russian, permanent United States Resident

Post Office Address(es) of inventors [if different from residence]:

NOTE: In order to qualify for reduced fees available to Small Entities, each inventor and any other individual or entity having rights to the invention must also sign an appropriate separate "Verified Statement (Declaration) Claiming [or Supporting a Claim by Another for] Small Entity Status" form [e.g. for Independent Inventor, Small Business Concern, Nonprofit Organization, Individual Non-Inventor].

U.S. PATENT AND TRADEMARK OFFICE  
DEPARTMENT OF COMMERCE  
Washington, D.C. 20591  
Telephone: (202) 707-7400  
Facsimile: (202) 707-7400